

Guarantee Issuance User Guide

Oracle Banking Trade Finance Process Management

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Oracle Banking Trade Finance Process Management - Guarantee Issuance User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction.

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Guarantee Issuance

As part of Guarantee Issuance, the applicant approaches a bank and requests the bank to issue a Bank Guarantee on their behalf to the beneficiary.

The various activities involved in OBTFPM during issuance of a guarantee are:

- Receive and verify application and other documents (Non Online Channel) - Registration stage
- Input application details
- Upload of related mandatory and non mandatory documents
- Verify documents and capture details (Online/Non Online Channels)- Scrutiny stage
- Check balance availability for amount block
- Input/Modify details of the guarantee - Data enrichment stage
- Conduct legal checks
- Check for limit availability
- Check for sanctions & KYC status
- Earmark limits/Create amount block for cash margin/charges
- Capture remarks during any stage of transaction for other users to check and act
- Draft guarantee copy for legal verification
- Generate acknowledgement and draft guarantee copy to customer
- Notify customer on any negative statuses during any of the stages to the applicant
- Hand off approved transaction to back office

In the subsequent sections, let's look at the details for Guarantee Issuance process:

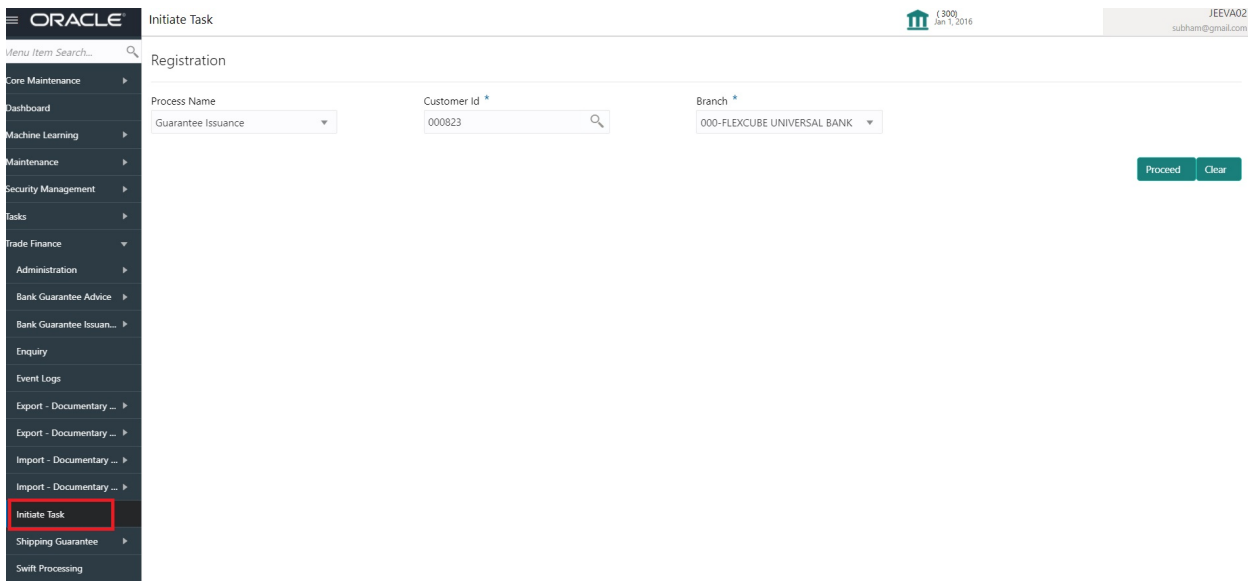
This section contains the following topics:

Common Initiation Stage	Registration
OBTFPM- OBDX Bidirectional flow	Scrutiny
Data Enrichment	Exceptions
Multi Level Approval	Customer - Acknowledgement letter
Customer - Reject Letter	Reject Approval
Initiate - Guarantee Issuance Template Maintenance	Create Guarantee Issuance Template Maintenance (SWIFT 2021)

Common Initiation Stage

The user can initiate the new guarantee issuance request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Customer ID	Select the customer id of the applicant or applicant's bank.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

If the Guarantee Issuance request is given through branch either by fax, email or physical application form, the Guarantee Issuance process starts from the registration stage.

During registration stage, user can capture the basic details of the application, check the signature of the applicant and upload the related documents of the applicant. It also enables the user to capture some additional product related details as an option. On submit of the request, the customer will be notified with an acknowledgment and the request will be available for a Guarantee Issuance expert to handle the request in the next stage.

Tasks initiated vide SWIFT corporate message, when customer initiates a Guarantee Issuance request through SWIFT (Corporate to SWIFT) channel, the MT798 message along with sub message MT784 / MT760/MT761 containing the request is parsed and based on the STP parameters maintained, can create a Guarantee Issuance Task in OBTFPM. The MT798 message, is routed to the Issuing Bank. Issuing

Bank receives the MT798 and creates a task in Guarantee Issuance Process in Scrutiny stage in OBTFPM.

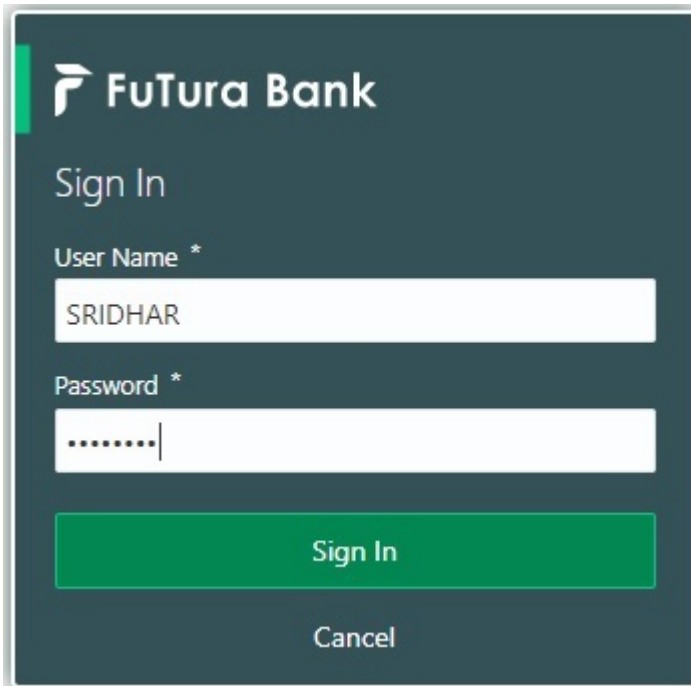
The Incoming MT798 message contains 3 sections.

- MT798 Index Message which contains the Sub message type 784
- MT798 Details Message which contains the Sub Message type 760 (MT700 tags with values)
- MT798 Extension Message which contains the Sub Message type 761 (MT761 Extension message tag values) if applicable.

The OBTFPM user can process MT798 with sub messages MT726-MT759 message received through SWIFT. The OBTFPM verifies the field 21 and 26E (of the MT759 and identifies the Original Contract Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process.

The OBTFPM user can process incoming MT798(up to a maximum of 8 messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

Using the entitled login credentials for registration stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

SRIDHAR

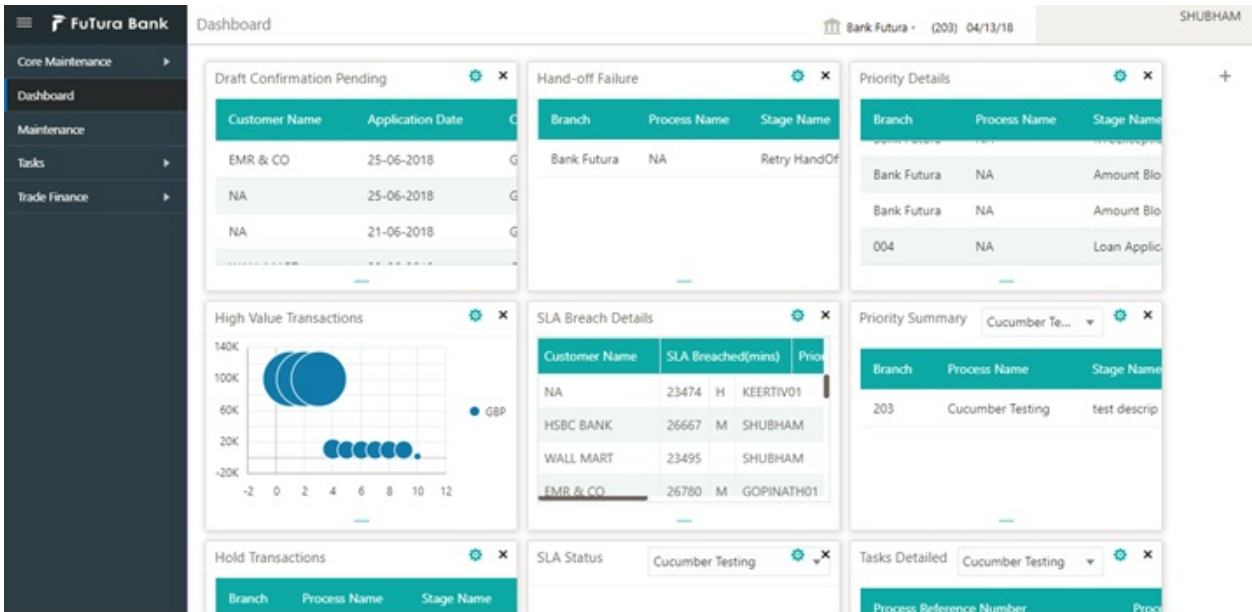
Password *

.....

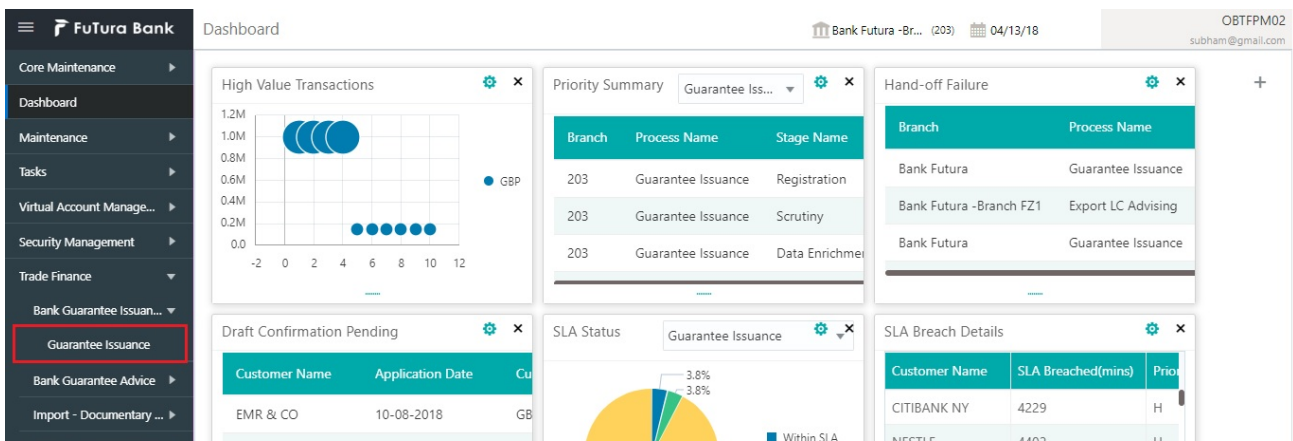
Sign In

Cancel

3. On login, user must be able to view the dashboard screen with widgets as mapped to the user.




4. Click Trade Finance> Bank Guarantee Issuance> Guarantee Issuance.




The registration stage has two sections Application Details and Guarantee Details. Let's look at the registration screens below:

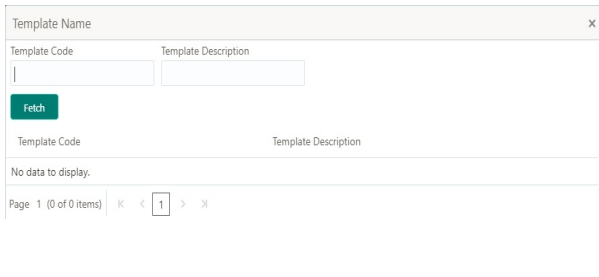
Application Details

Provide the Application Details based on the description in the following table. In case of MT798, Application Details are defaulted to SWIFT.

Field	Description	Sample Values
Received From Applicant bank	Guarantee Issuance request can be received from the applicant or from the applicant's bank. Toggle on: Switch on the toggle if Guarantee Issuance request is received from applicant's bank. Toggle off: Switch off the toggle if Guarantee Issuance request is received from applicant.	Toggle off
Received From - Customer ID	Select the customer id of the applicant or applicant's bank.	001345
Received From - Customer Name	Name of the customer or applicant. This field will be auto populated based on the selected customer ID.	EMR & CO
Branch	Select the branch. Customer's home branch will be displayed based on the customer ID and it can be changed, if required. <div style="text-align: center;">  <p>Note</p> </div> <p>Once the request is submitted, Branch field is non-editable.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), Branch to be resolved from CIF. If the Guarantee Issuance is at Local Issuing Bank (LIB), Branch to be resolved from CIF.</p>	203-Bank Futura -Branch FZ1

Field	Description	Sample Values
Currency code, Amount	<p>Select the currency code and Provide the guarantee value (with decimal places) as per currency type.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	GBP
Priority	<p>Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is defaulted and user can change its value.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is defaulted and user can change its value.</p>	High
Submission Mode	<p>Select the submission mode of Guarantee Issuance request. By default the submission mode will have the value as 'Desk'.</p> <p>Desk- Request received through Desk</p> <p>Fax - Request received through Fax</p> <p>Email - Request received through Email</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and SWIFT.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and SWIFT.</p>	Desk
Process Reference Number	<p>Unique sequence number for the transaction.</p> <p>This is auto generated by the system based on process name and branch code.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and generated by system.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and generated by system.</p>	203GTEISS000 001134
Application Date	<p>By default, the application will display branch's current date and enables the user to change the date to any back date.</p> <p> Note Future date selection is not allowed.</p>	04/13/2018

Field	Description	Sample Values
Customer Reference Number	User can enter the 'Reference number' provided by the applicant/applicant bank.	
Copy Existing Undertaking	Select any existing undertaking to be copied, if required.	
Template name	<p>This is applicable only for the non-online Guarantee Issuance request.</p> <p>This option allows user to select a template if the applicant details are already captured and the data can be reused with the template to reduce the effort. The details pertaining to the subsequent screens in scrutiny and data enrichment screens will be persisted and populated when you move to the relevant screens.</p> <p>Before populating the screens, application will check if there are any existing values and will display an alert message ' Value exist already in few fields - Do you want to use the template - Yes/No'. If the you click on Yes the existing details will be over-written with the template values.</p> <p>Click the look up icon to search the Template code with Template Code or Template Description.</p>	



SBLC/Guarantee Details


Registration user can provide Guarantee details in this section. Alternately, guarantee details can be provided by Scrutiny user.

SBLC/Guarantee Details

<p>22D - Form of Undertaking DGAR - Guarantee</p> <p>User Reference Number</p> <p>31C - Date of Issue May 5, 2021</p> <p>Effective Date May 5, 2021</p> <p>51A - Applicant Bank</p> <p>Counter SBLC/Guarantee Issuing Bank</p> <p>Amount In Local Currency GBP</p> <p>Closure Date</p>	<p>Product Code</p> <p>22A - Purpose of Message</p> <p>40C - Applicable Rules URDG - Uniform rules for dema...</p> <p>Tenor</p> <p>Applicant 000325 NATIONAL FREN</p> <p>Local SBLC/Guarantee Issuing Bank</p> <p>51 - Obligor/ Instructing Party</p> <p>Revenue Sharing Percentage</p>	<p>Product Description</p> <p>23X - File Identification</p> <p>40C - Narrative</p> <p>Date of Expiry</p> <p>Beneficiary 000335 SH TEST CORE</p> <p>39D - Additional Amounts</p> <p>Obligor Collateral Percentage</p> <p>Effective Date May 5, 2021</p>	<p>20 - Undertaking Number</p> <p>23X - Narrative</p> <p>23B - Expiry Type</p> <p>35G - Expiry Condition/ Event</p> <p>Advising Bank</p> <p>Accountee</p> <p>Auto Close</p> <p>Language Code ENG</p>
--	---	--	--

Hold Cancel Save & Close Submit

Provide the Guarantee Details based on the description in the following table:


Field	Description	Sample Values								
Form of Undertaking	<p>Select the Form of Undertaking from the available options:</p> <ul style="list-style-type: none"> • DGAR - Guarantee • STBY - Standby LC <div style="text-align: center;">  <p>Note</p> <p>This is a mandatory field.</p> </div> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>									
Product Code	<p>Select the applicable product code.</p> <p>Click the look up icon to search the product code with code or product description.</p> <div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 10px;"> <p>Product Code</p> <table border="0" style="width: 100%;"> <tr> <td style="width: 50%;"><input type="text"/></td> <td style="width: 50%;"><input type="text"/></td> </tr> <tr> <td colspan="2" style="text-align: center;"><input type="button" value="Fetch"/></td> </tr> </table> <table border="0" style="width: 100%; border-top: 1px solid #ccc;"> <tr> <td style="width: 50%;">Product Code</td> <td style="width: 50%;">Product Description</td> </tr> <tr> <td>ILUN</td> <td>Import LC Usage Non Revolving</td> </tr> </table> <p style="font-size: small;">Page 1 of 1 (1 of 1 items) < 1 > »</p> </div> <p>You can also enter the product code and on tab out system will validate and populate the selected product description. The product codes will be listed based on the selected value in Form of Undertaking.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), user can enter the product code.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), user can enter the product code.</p>	<input type="text"/>	<input type="text"/>	<input type="button" value="Fetch"/>		Product Code	Product Description	ILUN	Import LC Usage Non Revolving	GUIS
<input type="text"/>	<input type="text"/>									
<input type="button" value="Fetch"/>										
Product Code	Product Description									
ILUN	Import LC Usage Non Revolving									
Product Description	Auto populated by the application based on the Product Code selected.	Guarantee Issuance / Re-issuance upon receiving request								



Field	Description	Sample Values
Undertaking Number	<p>Provide the undertaking number available in the guarantee/SBLC.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), Undertaking Number is generated from Back office System and in incoming MT 760 to be populated against Reference field for party CIB.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), Undertaking Number is generated from Back office System and in incoming MT 760 to be populated against Reference field for party CIB.</p>	
User Reference Number	System defaults the user reference number, depending on the selection of product code.	PK2GUI121144 0001
Purpose of message	<p>Select the purpose of message from the LOV:</p> <ul style="list-style-type: none"> ISSU - Issue of Undertaking <p>In case the Undertaking is sent through SWIFT MT 760, the advising bank has to just advise the Undertaking to the Beneficiary.</p> <p>In case the Undertaking is advised through Mail Advice, the guarantee can be directly mailed by the Issuing bank to the Beneficiary.</p> <p>This is applicable for Guarantees/ Local Guarantees and SBLC (Standby LC)</p> <ul style="list-style-type: none"> ICCO - Issuance of counter-counter-undertaking and request to issue counter-undertaking <p>The bank receiving the Undertaking (usually through SWIFT MT 760) is required to issue a Counter-undertaking to another bank requesting the third Bank to issue Local Undertaking favoring the Beneficiary.</p> <ul style="list-style-type: none"> ISCO - Issuance of counter-undertaking and request to issue local undertaking <p>The bank receiving the Undertaking (usually through SWIFT MT 760) is required to issue Local Undertaking to the beneficiary.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), Purpose of Message is 'ISCO'.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), Purpose of Message is 'ISSU'.</p>	

Field	Description	Sample Values
File Identification	<p>This field enables the user to select the type of delivery channel and its associated file name or reference from the available values:</p> <ul style="list-style-type: none"> • COUR - Courier delivery • EMAL - Email transfer • FACT - SWIFTNet • FAXT - Fax transfer • HOST - Host-to-Host • MAIL - Postal Delivery • OTHR - Other delivery channel <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Narrative	<p>If File Identification field values are COUR or OTHR, user must be able to provide description in this field.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Date of Issue	<p>Application will default the branch's current date in date of issue. User cannot change the defaulted date.</p> <p>Application will populate the Date of Issue field with branch date on approval if date of approval is later than date of registration.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), Date of Issue = Branch Date (Date of Issue in incoming MT 760 should be mapped to Party CIB- Field Date).</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), Date of Issue = Branch Date (Date of Issue in incoming MT 760 should be mapped to Party CIB- Field Date).</p>	04/13/18

Field	Description	Sample Values
Applicable Rules	<p>Select the applicable rules for the Guarantee Issuance from the available options:</p> <ul style="list-style-type: none"> • URDG - Uniform rules for demand guarantees • UCPR - Uniform customs and Practices • ISPR - International standby Practices • OTHR <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	URDG - Uniform rules for demand guarantees
Narrative	<p>If Applicable Rules field value is OTHR, user must be able to provide description in this field.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Expiry Type	<p>This field indicates whether undertaking has specified expiry date or is open-ended. Select the applicable value from the available options:</p> <ul style="list-style-type: none"> • COND - Conditional Expiry • FIXD - Specified expiry date (with/without automatic expansion) • OPEN - No specific date of expiry <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Effective Date	<p>The effective date is defaulted from guarantee issuance.</p> <p>The user can change the date.</p>	
Tenor	<p>Specify the value for tenor and select the value from the drop-down.</p> <p>The drop down is enabled, if Expiry Type is COND and FIXD</p>	

Field	Description	Sample Values
Date Of Expiry	<p>Provide the expiry date of the Guarantee Issuance.</p> <p>The expiry date can be equal or greater than the issue date. If the Expiry Date is earlier than the issue date, system will provide an error and if the 'Expiry Date is equal to the Issue Date', system will provide an alert message.</p> <p>This field is applicable only if Expiry Type is COND or FIXD.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	09/30/18
Expiry Condition/Event	<p>This field specifies the documentary condition/event that indicates when the local undertaking will cease to be available.</p> <p>This field is applicable only if Date of Expiry field value is COND.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Applicant Bank	<p>In application details, if Received From Applicant Bank toggle is on, the applicant bank details will be displayed here.</p> <p>If request is not received from applicant bank, this field must be blank.</p>	001342 -HSBC Bank
Applicant	<p>Applicant details will be auto populated based on the details provided in Application Details section.</p> <p>If the request is received from Applicant bank, select the applicant from the List of Values.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	001345 Nestle

Field	Description	Sample Values
Beneficiary	<p>Select the beneficiary in whose favor the undertaking (or counter-undertaking) is issued.</p> <p>If beneficiary is not a customer of the bank, then choose WALKIN customer id and provide the beneficiary details. If beneficiary is a customer and KYC status is not Valid, then system will display alert message.</p> <p>If the Guarantee Issuance at Counter Issuing Bank (CIB) the field is Read only and Populated from Incoming MT 760.</p> <p>If the Guarantee Issuance at Local Issuing Bank (LIB), Customer ID to be resolved from incoming message. If required use Walk-in ID. Bene ID/ name should be made amendable by the user.</p>	001344 EMR & CO
Advising Bank	<p>Select the advising bank.</p> <p>Click the look up icon to search the advising bank based on Party ID/Party Name.You can also input the party ID and on tab out system will validate and populate the 'Advising Bank' name.</p> <div data-bbox="683 1025 746 1115" style="text-align: center;">  <p>Note</p> </div> <p>In case the selected Bank is not RMA Compliant, the system prompts the user to use a different advising bank or use non SWIFT Media to transmit the LC and displays error message “RMA arrangement not available, please change the bank or use MAIL Medium”.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	001343 - Bank Of America

Field	Description	Sample Values
Counter SBLC/ Guarantee Issuing Bank	<p>Select the Counter Guarantee Issuance Bank from the LOV.</p> <p>This field is applicable only if the Purpose of Message field has value as ICCO.</p>  <p>Note</p> <p>If Counter Issuing Bank has value, and in case the selected Bank is not RMA Compliant, the system displays error message “RMA arrangement not available”.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p>	
Local SBLC/ Guarantee Issuing Bank	<p>Select the Local Guarantee Issuance Bank from the LOV.</p> <p>This field is applicable only if the Purpose of Message field has value as ICCO or ISCO.</p>  <p>Note</p> <p>If Local Issuing Bank has value and Counter Issuing Bank has no value, and in case the selected Bank is not RMA Compliant, the system displays error message “RMA arrangement not available”.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Additional Amounts	<p>Provide any additional amounts related to undertaking.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Accountee	User can select the accountee from LOV.	8/2
Amount In Local Currency	System fetches the local currency equivalent value for the transaction amount from back office (with decimal places).	
Obligor/ Instructor Party	Click Search to search and select the name of the obligor from the lookup.	

Field	Description	Sample Values
Obligor Collateral Percentage	Specify the value for obligor collateral percentage.	
Auto Close	<p>Toggle On: Enable the toggle, if Auto close is required for that transactions.</p> <p>Toggle Off: Disable the toggle, if Auto close is not required for that transactions.</p>	Auto Close
Closure Date	<p>System default the "Closure Date" with the value "Expiry Date" + No of Closure days maintained in the respective Product in which the contract has been created.</p> <p>System automatically close the contract on the specified "Closure Date" if "Auto Close" is selected as "Yes" for the specific contract.</p> <p>User can modify the system defaulted "Closure Date" and system should validate the same for the below conditions,</p> <ul style="list-style-type: none"> • Closure Date must be after the Issue Date. • Closure Date must be after the Expiry Date. • Closure Date cannot be blank, when the "Auto Close" is checked. 	Closure Date
Revenue Sharing Percentage	<p>Read only field.</p> <p>System populates the values from the "Trade Finance Customer Maintenance" if the below conditions are met.</p> <ul style="list-style-type: none"> • Purpose of Message is "ISCO" or "ICCO" • CIB or LIB is captured in the Guarantee Contract. • If CIB and LIB is available in the Guarantee Contract, then system should populate the "Revenue sharing Percentage" maintained against party CIB. • If LIB is only available without CIB in the Guarantee Contract, then system should populate the "Revenue sharing Percentage" maintained against party LIB. • Percentage should be populated after tab out from LIB field. 	
Effective Date	<p>The effective date is defaulted from guarantee issuance.</p> <p>The user can change the date.</p>	
Language Code	Click Search to search and select the language code from the look-up.	

Miscellaneous

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Signature	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p>	
Documents	<p>Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	
Remarks	<p>Provide any additional information regarding the Guarantee Issuance. This information can be viewed by other users processing the request.</p>	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	

Field	Description	Sample Values
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Submit	On Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee Issuance. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancels the Guarantee Issuance Registration stage input.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	

Document Linkage

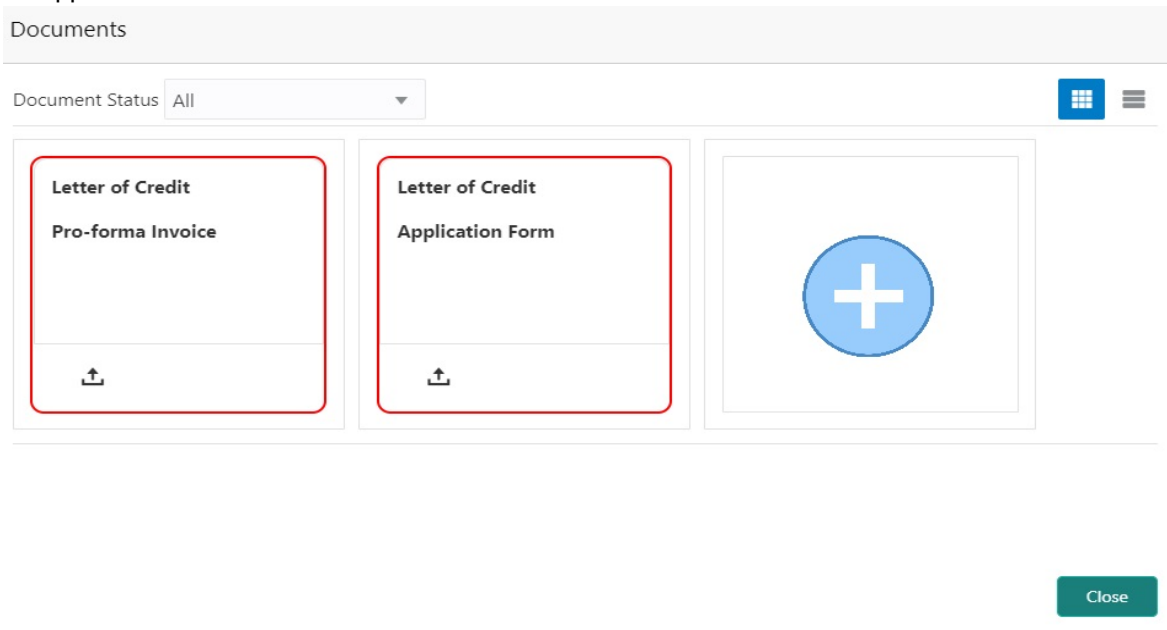
The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



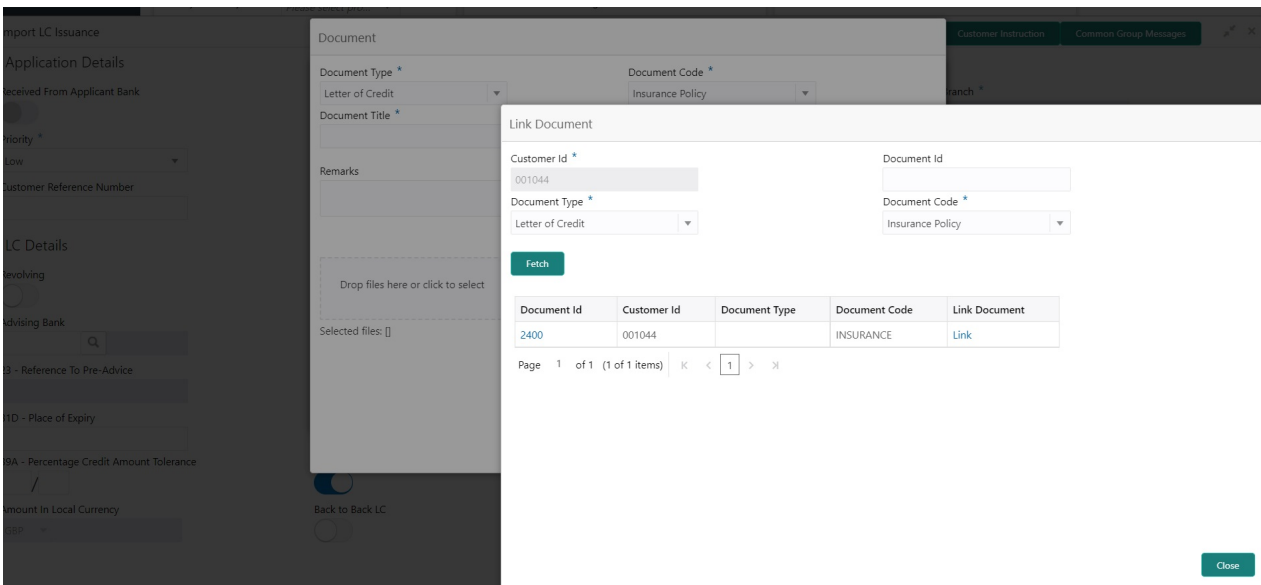
3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	

Field	Description	Sample Values
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

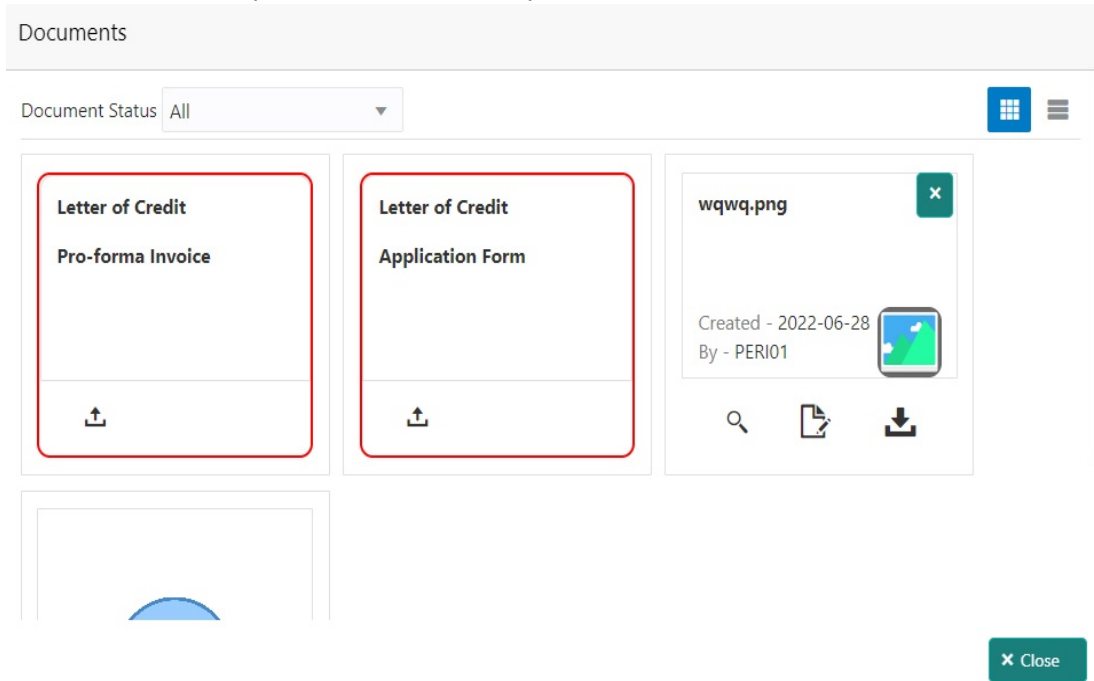


5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	

Field	Description	Sample Values
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.



Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Document screen appears.

The 'Edit Document' form contains the following fields:

- Document Id: 2400
- Document Title: wqwq
- Application Reference Number: PK2ILCI000019041
- Entity Reference Number: PK2ILCI000019041
- Document Type Id: TFPM_DOCTYPE001
- Document Description: (empty text area)
- Remarks: (empty text area)
- Document Expiry Date: Jun 29, 2022

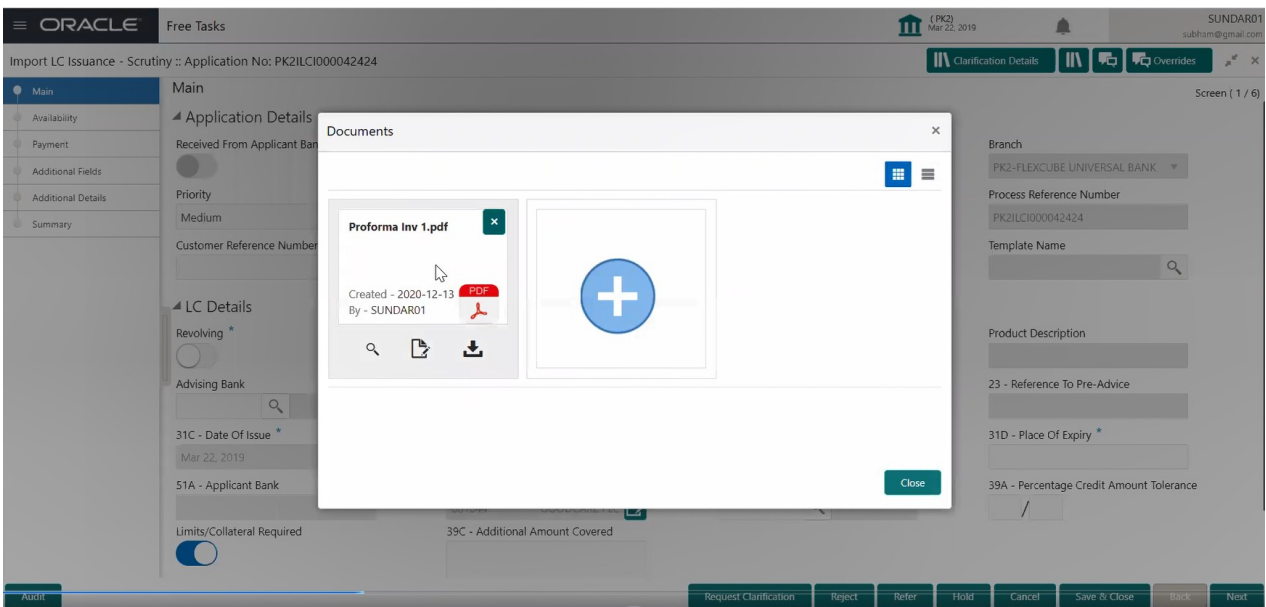
At the bottom, there is a dashed box with the text 'Drop files here or click to select' and 'Current selected files: []'. 'Update' and 'Cancel' buttons are located at the bottom right.

OBTFPM- OBDX Bidirectional flow

As a part of Digital Experience, customers can initiate Trade Finance Transactions from online channels and the respective task will be available in OBTFPM for further handling.

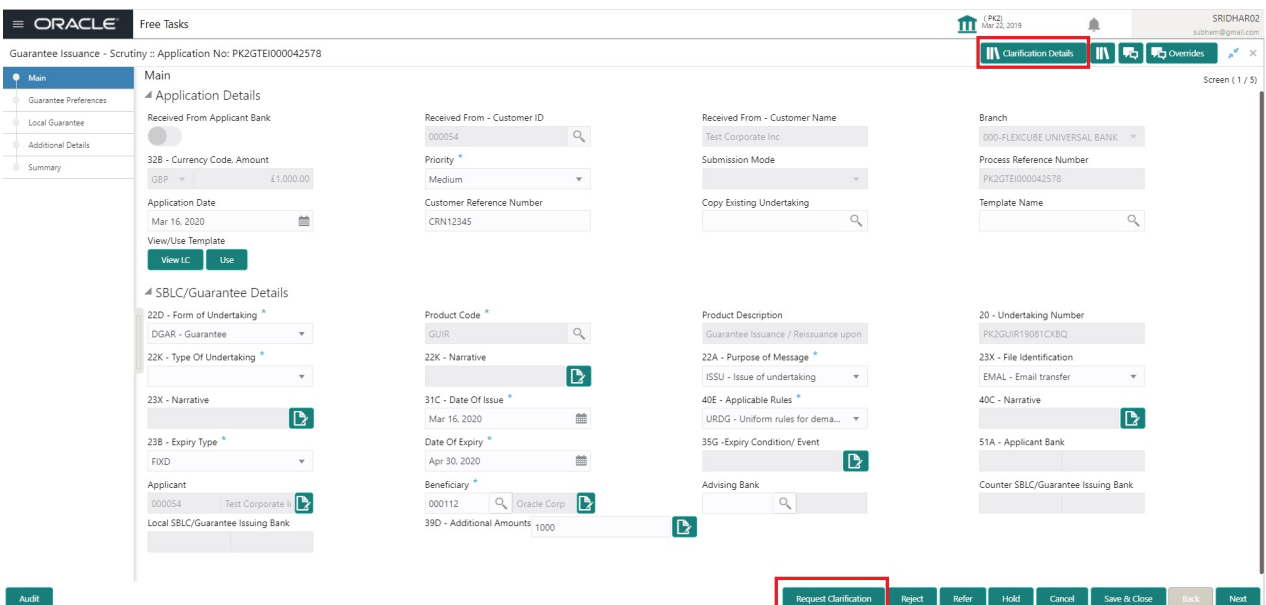
OBTFPM user, for task received from online channel, raise clarification and receive response from the customer.

1. Customer initiates the Trade Finance transaction in Online channel (OBDX) and upload the necessary documents.



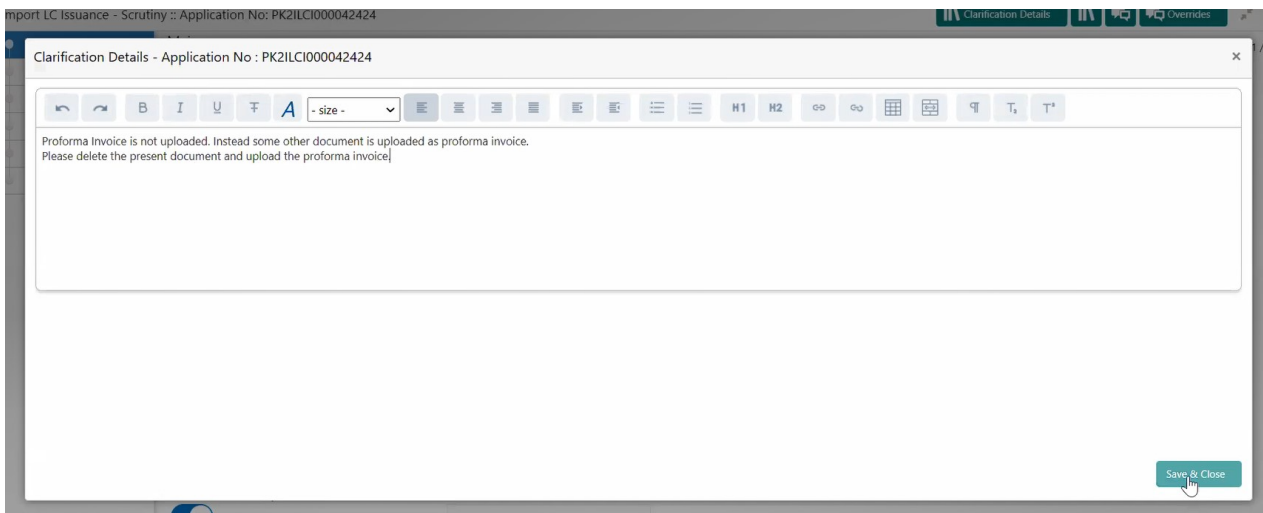
2. The task created will land in the Scrutiny stage of OBTFPM for handling by Trade expert for reviewing and identifying mismatch/incomplete data.

3. In the Scrutiny, Data Enrichment or Approval the bank user may require clarification from customer, OBTFPM user clicks **Request Clarification** button to request for online clarification from customer.

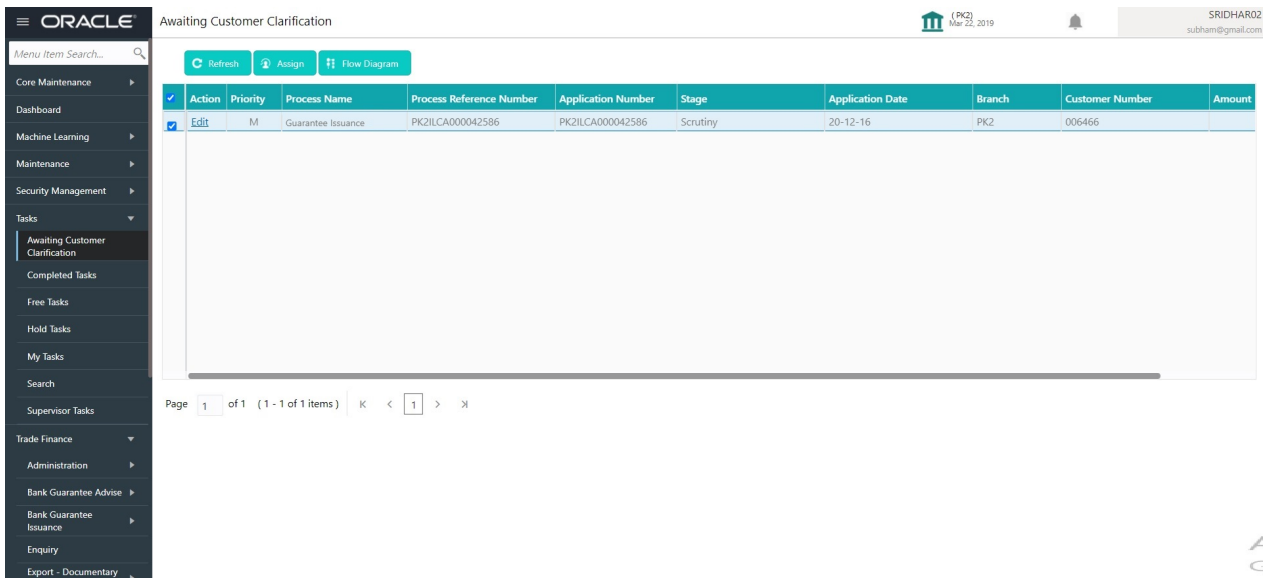


4. The **Request Clarification** detailed screen appears, user enters the information and clicks **Save**, the information should be sent to customer.

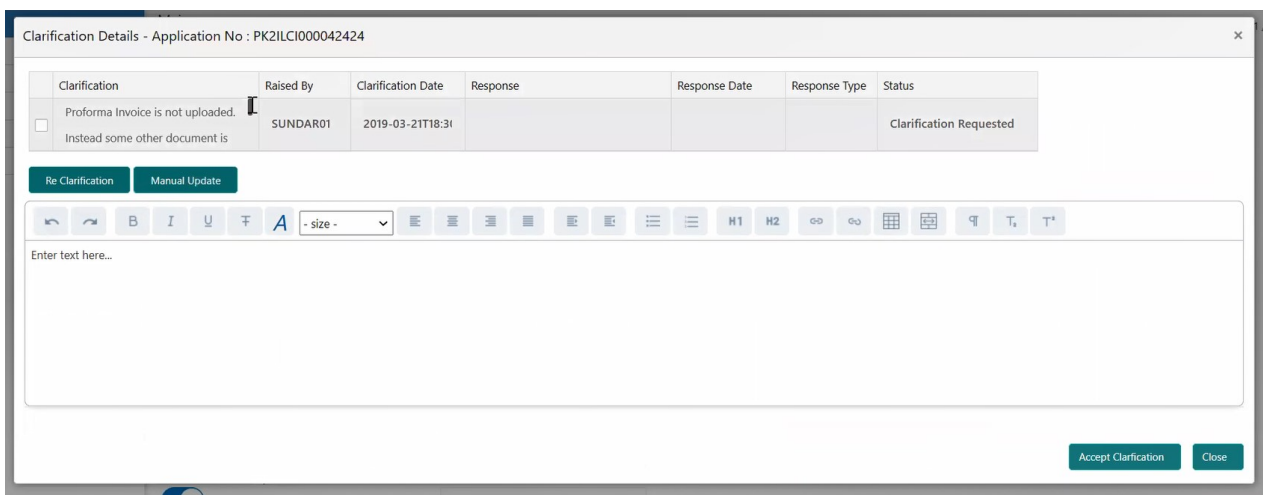
- OBTFPM user should be able to see the details in the View Clarification window and the status will be Clarification Requested. The user can click Re clarification if required.



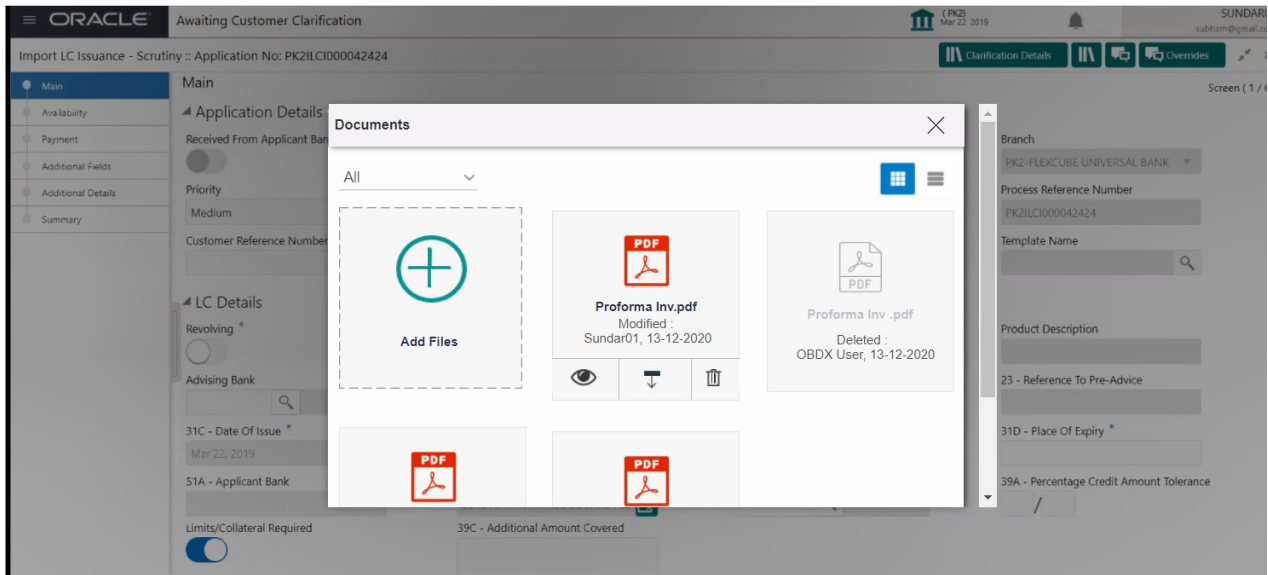
- The task goes to **Awaiting Customer Clarification** state until the response received from the customer.



- Click **Edit**.



8. The user can click **Accept Clarification** button, if the query raised has been answered by the customer. The status should change to Clarification Accepted. on next the task moves to the next logical stage.
9. Bank user checks the Clarification and opens the **Documents** Tab. System displays both the new document uploaded and the metadata for deleted document and the deleted document is displayed in a blurred way. User can open the new document, the deleted document cannot be opened. System should also increment the version number of the documents.



Bi-Directional Flow for Offline Transactions Initiated from OBTFPM

OBTFPM supports the Bi-Directional Flow for Offline Transactions initiated from OBTFPM directly. Offline Transactions means those transactions which are not initiated by OBDX, but are initiated directly by the bank user in OBTFPM upon request received from the customer.

Pre- Conditions:

- Customer Maintenance details are replicated from OBTF to OBTFPM.
- Task is initiated in OBTFPM, Customer ID is captured/populated and Process Reference Number is generated.

Steps of Bi-Directional Flow

1. Customer Maintenance details are replicated from OBTF to OBTFPM.
2. In OBTFPM, user clicks on **Request Clarification**, the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is "Online". In case submission mode is "Online", the user can enter the clarification details in "Clarification Required" placeholder, which is an existing functionality.
3. In case submission mode is not "Online", the system will validate if the counterparty is a OBDX customer by checking the flag "Trade Finance Portal" in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.
4. In case submission mode is not "Online", and if the "Trade Finance Portal" flag is set to 'No' in Customer Maintenance Table, the system displays the error message that 'The customer is not subscribed to Trade Finance Portal'.
5. Once the request is submitted, the existing Request Clarification functionality would be applicable to offline initiated transactions also.

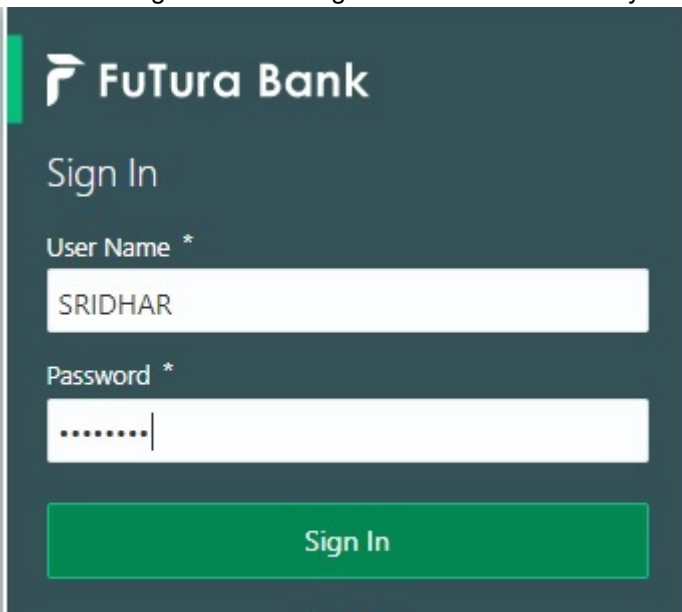
Scrutiny

On successful completion of registration of a Guarantee Issuance request, the request moves to scrutiny stage. At this stage the gathered information during registration are scrutinized.

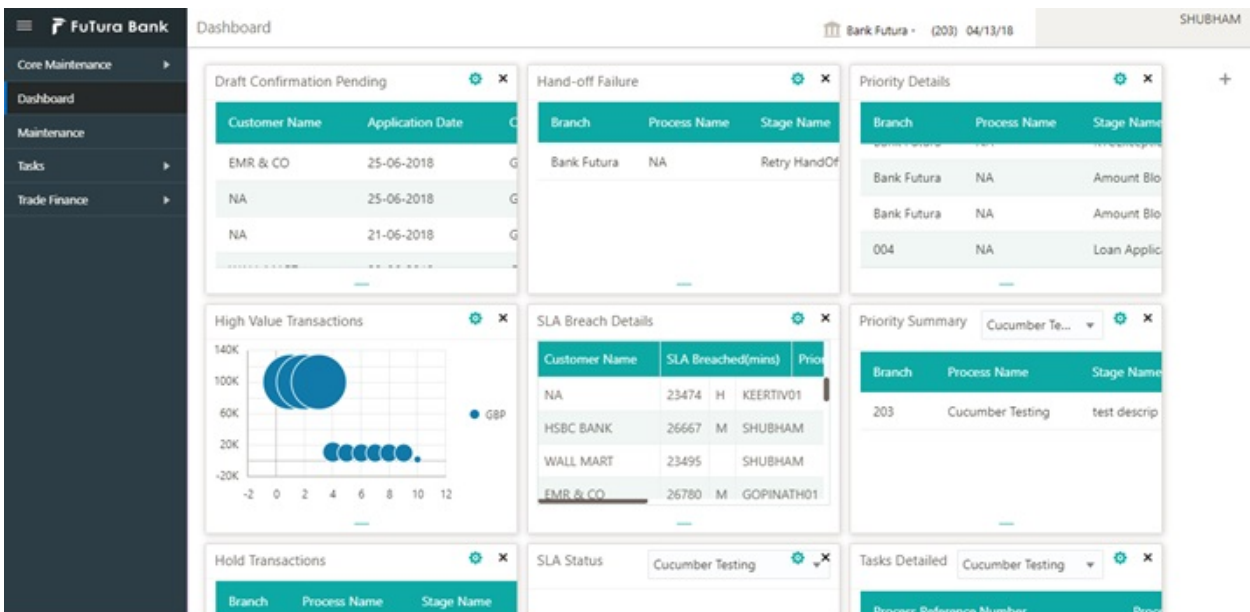
In case of MT798 message, if the User encounters validation error during handling the task, user can put the task on 'Hold' and resume after getting the correct details from the customer (by manually sending a message through common group messages). The user if required can update the editable fields. The fields that have been changed/updated have to be highlighted by the system and the user can check the incoming message place holder for the original value.

Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Tasks> Free Tasks.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer
Acquire & Edit	M	Guarantee Issuance	300GTEI000030653	300GTEI000030653	Scrutiny	20-04-20	300	001506
Acquire & Edit	M	Export Documentary Li...	300EDCL000030634	300EDCL000030634	Approval Task Level 1	70-01-01	300	001506
Acquire & Edit	M	Import Documentary Li...	300IDCL000030640	300IDCL000030640	Approval Task Level 1	70-01-01	300	001506
Acquire & Edit	M	Export Documentary- B...	300EDCB000029491	300EDCB000029491	DataEnrichment	70-01-01	300	001506
Acquire & Edit	M	Export Documentary- B...	300EDCB000029489	300EDCB000029489	DataEnrichment	70-01-01	300	001506
Acquire & Edit	M	Export Documentary- B...	300EDCB000029490	300EDCB000029490	Registration	70-01-01	300	001506
Acquire & Edit	M	Export Documentary- B...	300EDCB000029487	300EDCB000029487	DataEnrichment	70-01-01	300	001506
Acquire & Edit	M	Export Documentary- B...	300EDCB000029488	300EDCB000029488	Registration	70-01-01	300	001506
Acquire & Edit	M	Export Documentary- B...	300EDCB000029486	300EDCB000029486	Registration	70-01-01	300	001506
Acquire & Edit	M	Guarantee Issuance	300GTEI000029484	300GTEI000029484	Registration	70-01-01	000	001506

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer
Acquire & Edit	M	Guarantee Issuance	300GTEI000030653	300GTEI000030653	Scrutiny	20-04-20	300	001506
Acquire & Edit	M	Export Documentary Li...	300EDCL000030634	300EDCL000030634	Approval Task Level 1	70-01-01	300	001506
Acquire & Edit	M	Import Documentary Li...	300IDCL000030640	300IDCL000030640	Approval Task Level 1	70-01-01	300	001506
Acquire & Edit	M	Export Documentary- B...	300EDCB000029491	300EDCB000029491	DataEnrichment	70-01-01	300	001506
Acquire & Edit	M	Export Documentary- B...	300EDCB000029489	300EDCB000029489	DataEnrichment	70-01-01	300	001506
Acquire & Edit	M	Export Documentary- B...	300EDCB000029490	300EDCB000029490	Registration	70-01-01	300	001506
Acquire & Edit	M	Export Documentary- B...	300EDCB000029487	300EDCB000029487	DataEnrichment	70-01-01	300	001506
Acquire & Edit	M	Export Documentary- B...	300EDCB000029488	300EDCB000029488	Registration	70-01-01	300	001506
Acquire & Edit	M	Export Documentary- B...	300EDCB000029486	300EDCB000029486	Registration	70-01-01	300	001506
Acquire & Edit	M	Guarantee Issuance	300GTEI000029484	300GTEI000029484	Registration	70-01-01	000	001506

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Ac...	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer
Edit	M	Guarantee Issuance	300GTEI000030653	300GTEI000030653	Scrutiny	20-04-20	300	001506

The scrutiny stage has three sections as follows:

- Main Details
- Guarantee Preferences
- Local Guarantee

- Additional Details
- Summary

Let's look at the details for scrutiny stage. User can enter/update the following fields. Some of the fields that are already having value from registration/online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application Details
- Guarantee Details

Application Details

All fields displayed under Application details section, would be read only except for the **Priority** and **Customer Reference Number**. Refer to [Application Details](#) for more information of the fields.

The screenshot displays the Oracle application interface for 'Guarantee Issuance Islamic Scrutiny'. The application number is PK2IGTI000023596. The interface is divided into several sections:

- Application Details:**
 - Received From Applicant Bank:
 - 32B - Currency Code, Amount: GBP, £1,000.00
 - Application Date: May 5, 2021
 - View/Use Template: View Guarantee/SBLC, Use
- SBLC/Guarantee Details:**
 - 22D - Form of Undertaking: DGAR - Guarantee
 - 22K - Type of Undertaking: BILL - Bill of lading
 - 23X - Narrative: [Text field]
 - 23B - Expiry Type: FIXD
 - 51A - Applicant Bank: [Text field]
 - Applicant: 001044 GOODCARE PLC
 - Local SBLC/Guarantee Issuing Bank: [Text field]
 - Revenue Sharing Percentage: [Text field]
- Customer Information:**
 - Received From - Customer ID: 001044
 - Priority: Medium
 - Customer Reference Number: bene111
 - Received From - Customer Name: GOODCARE PLC
 - Submission Mode: Online
 - Copy Existing Undertaking: [Text field]
- Product and Description:**
 - Product Code: GLJR
 - 22K - Narrative: [Text field]
 - 31C - Date of Issue: May 5, 2021
 - Tenor: [Text field]
 - Product Description: Islamic Guarantee Issuance / Reissuanc
 - 22A - Purpose of Message: ISSU - Issue of undertaking
 - 40E - Applicable Rules: URDG - Uniform rules for dema...
 - Date of Expiry: Aug 2, 2022
- Bank and Account Information:**
 - Beneficiary: 001204 [Text field]
 - 39D - Additional Amounts: [Text field]
 - Auto Close:
 - Advising Bank: 003763 CITIBANK IRE
 - Accountee: [Text field]
 - Closure Date: Sep 22, 2022
 - Counter SBLC/Guarantee Issuing Bank: [Text field]
 - Amount In Local Currency: GBP
 - Language Code: ENG
- Other Fields:**
 - Branch: PK2-Oracle Banking Trade Finan...
 - Process Reference Number: PK2IGTI000023596
 - Template Name: [Text field]
 - 20 - Undertaking Number: PK2GLIR211643506
 - 23X - File Identification: EMAL - Email transfer
 - 40C - Narrative: [Text field]
 - 35G - Expiry Condition/ Event: [Text field]

The bottom toolbar contains the following buttons: Audit, Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, Next.

Guarantee Details

The fields listed under this section are same as the fields listed under the [SBLC/Guarantee Details](#) section in [Common Initiation Stage](#). Refer to [SBLC/Guarantee Details](#) for more information of the fields. During registration, if user has not captured input, then user can capture the details in this section.

Following fields are the additional new fields apart from the fields carried over from [SBLC/Guarantee Details](#) of [Registration](#). Provide the details for the two additional fields based on the description in the following table:

Field	Description	Sample Values
Copy Existing Undertaking	Select any existing undertaking to be copied, if required.	
Template name	<p>This is applicable only for the non-online Guarantee Issuance request.</p> <p>This option allows user to select a template if the applicant details are already captured and the data can be reused with the template to reduce the effort. The details pertaining to the subsequent screens in scrutiny and data enrichment screens will be persisted and populated when you move to the relevant screens.</p> <p>Before populating the screens, application will check if there are any existing values and will display an alert message ' Value exist already in few fields - Do you want to use the template - Yes/No'. If the you click on Yes the existing details will be over-written with the template values.</p> <p>Click the look up icon to search the Template code with Template Code or Template Description.</p>	

Template Name x

Template Code	Template Description
Fetch	

No data to display.

Page 1 (0 of 0 items) < 1 >

Field	Description	Sample Values
View Guarantee/ SBLC	Click View to view the details of the selected template in Template Name.	
Use	Click Use to use the selected template in Template Name.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	

Field	Description	Sample Values
Incoming Message	<p>This button displays the multiple messages (MT760 + up to 7 MT761.</p> <p>Click to allow parsing of MT 760 along with MT761(up to 7) messages together to create a Guarantee Issuance.</p> <p>In case of MT798, the User can click and view the MT798 message(784,760/761).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	<p>Cancel the Guarantee Issuance scrutiny stage inputs.</p>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Guarantee Preferences

Preferences



Provide the preferences details based on the description in the following table:




Field	Description	Sample Values
Terms and Conditions	<p>Select the terms and conditions from the LOV that are not already mentioned.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p> <p>The field displays the content from MT760 and all the applicable MT 761.</p>	


Field	Description	Sample Values
Governing Law and Jurisdiction	<p>Select the applicable governing law and jurisdiction for the undertaking.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	

Automatic Extension Details

Provide the Automatic Extension Details based on the description in the following table:

Field	Description	Sample Values
Automatic Extension Required	<p>Toggle On: Set the toggle On, if automatic extension for expiry date is required.</p> <p>Toggle Off: Set the toggle Off, if automatic extension for expiry date is not required.</p> <p> Note This field is not applicable if, Expiry Type field in registration stage has value as Open.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), this button is enabled if 23F field has value.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), this button is enabled if 23F field has value.</p>	
Auto Extension Period	<p>Select the auto extension period for expiry date from the following options:</p> <ul style="list-style-type: none"> • Days • One year • Others <p> Note This field is applicable only if Auto Extension Required toggle is set to On.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	

Field	Description	Sample Values
Extension Details	<p>Provide the extension details for the expiry date.</p>  <p>Note This field is applicable only if Auto Extension Period field value is Days/ Others.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Non-Extension Details	<p>Provide the non-extension details for automatic expiry date extension such as notification methods or notification recipient details.</p>  <p>Note This field is applicable only if Auto Extension Period field has values.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Non-Extension Notice Period	<p>Provide the non-extension notice days.</p>  <p>Note This field is applicable only if Auto Extension Period field has values.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	



Field	Description	Sample Values
Auto Extension Final Expiry Date	<p>Provide the final extension date for automatic expiry date extension after which no automatic extension is allowed.</p>  <p>Note This field is applicable only if Auto Extension Period field has values.</p> <p>If Automatic Extension Required toggle is set to Yes, the user can manually enter the value. This date/duration can be beyond the calculated value provided in the “Auto Extension Period”.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	

Liability Change Schedule

In this section, user can enter the details of increase or decrease of liability on a pre-scheduled date as applicable.

Provide the **Liability Change Schedule** based on the description in the following table:

Field	Description	Sample Values
Liability Change Type	<p>Select the liability change type. This field describes the basis for liability change.</p> <p>Values are:</p> <ul style="list-style-type: none"> • Event Based - User can enter the Event details in “Additional Details” field. The actual liability change for Event based type should be operationally handled by the user based on the event details • Time Based - The liability change should happen automatically on the pre-scheduled date as given. 	
Additional Details	Specify the additional details to increase or decrease of liability or both are involved.	
Standard Type	This field describes whether liability change is standard or non-standard.	
Liability Type	This field describes whether Increase or decrease of liability or both are involved.	
Number of Periods	User can enter the numeric value of the period corresponding to the units.	

Field	Description	Sample Values
Units	User can select the value from drop down. Values are: <ul style="list-style-type: none"> • Monthly • Quarterly • Half Yearly • Yearly 	
Amount	User can enter the Liability Amount that should be increased or decreased on the liability change date.	
Percentage	User can either enter the amount of liability to be changed or percentage of liability to be changed. If percentage is chosen, then system should calculate the equivalent amount of liability to be changed.	
Schedule Grid	If the liability change is for more than one date, or if the liability change is not based on time, user can input the details in the schedule grid.	
Sequence Number	Serial number of the liability change.	
Scheduled Date	User can enter the date on which liability change to happen.	
Amount	User can enter the Liability Amount that should be increased or decreased on the liability change date.	
Percentage	The user can either enter the amount of liability to be changed or percentage of liability to be changed. If percentage is chosen, then system should calculate the equivalent amount of liability to be changed.	
Liability Type	This field describes whether Increase or decrease of liability or both are involved.	
Plus Icon 	Click plus icon to add a new row to input the liability change details in the grid.	
Minus Icon 	Click minus icon to remove any existing grid Details.	



Demand Indicator



Field	Description	Sample Values
Demand Indicator	<p>This field specifies whether partial and/or multiple demands are not permitted. Select the demand indicator from the following options:</p> <ul style="list-style-type: none">• Multiple demands are not permitted - Partial amount can be claimed• Partial demands are not permitted - Entire amount can be claimed• Multiple and partial demands are not permitted - Entire amount can be claimed <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	

Underlying Transaction Details


Field	Description	Sample Values
Underlying Transaction Details	<p>Select the underlying business transaction details (for which the undertaking is issued) from the LOV.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	

Delivery of Original Undertaking

Field	Description	Sample Values
Delivery of Original Undertaking	<p>Select the method of the delivery from the following options by which the original local undertaking needs to be delivered:</p> <ul style="list-style-type: none"> • COLL - By Collection • COUR - By Courier • MAIL - By Mail • MESS - By Messenger - Hand Deliver • OTHR - Other Method <p> Note This field is not applicable, if Purpose of Message field value is ICCO/ISCO.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Narrative	<p>Provide the description of method of delivery of original undertaking.</p> <p> Note This field is applicable only if the Delivery of Original Undertaking field value is COUR/OTHR.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	



Field	Description	Sample Values
Delivery to/Collection by	<p>Select the details of to whom the original local undertaking is to be delivered or by whom the original local undertaking is to be collected:</p> <ul style="list-style-type: none"> • BENE - Beneficiary • OTHR - Others <div style="text-align: center;">  <p>Note</p> <p>This field is not applicable, if Purpose of Message field value is ICCO/ISCO.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p> </div>	
Narrative	<p>Provide the name and address.</p> <div style="text-align: center;">  <p>Note</p> <p>This field is applicable only if the Delivery to/Collection by field value is OTHR.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p> </div>	



Transfer Details

Field	Description	Sample Values
Transfer Indicator	<p>Select the check box if the undertaking is transferable.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Transfer Conditions	<p>Provide the conditions to transfer the undertaking.</p> <p> Note</p> <p>This field is applicable only if the Transfer Conditions check box is checked.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	

Others

Field	Description	Sample Values
Sender to Receiver Information	<p>Select the additional information for receiver from the LOV.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Charges	<p>Select the charger for the undertaking from the LOV.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	

Field	Description	Sample Values
Advice Through Bank	<p>Select the additional bank to advise the undertaking from the LOV.</p>  <p>Note This field is enabled only if Advising Bank in Main Details hop has value.</p>	
Available With	<p>This field identifies the bank with which the credit is available of the issued LC.</p> <p>User must capture the bank details or any free text.</p> <ul style="list-style-type: none"> • Search the bank with SWIFT code (BIC) or Bank Name. <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address gets defaulted.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Confirmation Instructions	<p>Select the confirmation instruction from the available values:</p> <ul style="list-style-type: none"> • CONFIRM • MAY ADD • WITHOUT  <p>Note This field is applicable if the Form of Undertaking is STBY - Standby LC.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	

Field	Description	Sample Values
Requested Confirmation Party	<p>Select the requested confirmation party from the available options:</p> <ul style="list-style-type: none"> • Advising Bank • Advise Through Bank • Others <p> Note This field is applicable if the Confirmation Instructions is Confirm or May Add.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Confirming Bank	<p>Select the Confirming Bank from the LOV.</p> <p> Note This field is applicable if the Requested Confirmation Party value is Others.</p>	

Acknowledgement Details

An acknowledgment in format MT 768 is sent by advising bank to the issuing for Guarantee. The user can input the details required for issuing bank as part of MT 768. The outgoing MT 798 should display the 761 as applicable.

Acknowledgement Details

Screen

▲ MT 768 Guarantee Acknowledgment

Issuing Bank Reference

1111

25 Account Identification

PK20064460016

30 Date of Acknowledgement

Charges to be Claimed

57a - Account with Bank

71 D Charges

72-Sender to Receiver Information

79 Z Narrative

Provide the Acknowledgment Details based on the information in the following table:

Field	Description	Sample Values
Issuing Bank Reference	This field specifies the issuing bank reference.	
Account Identification	This field identifies, where necessary, the account which is used for settlement of charges.	

Field	Description	Sample Values
Date of Acknowledgement	This field specifies the date on which the message being acknowledged was sent. System displays the Branch Date. If the task is approved on a later date, then the date should be updated as the branch date as on approval.	
Charges to be Claimed	This field specifies the currency code and total amount of charges claimed by the advising bank. If the Sender services an account for the Receiver in the currency of the charges, and this System should default the Date of Debit as the branch date as on approval.	
Account with Bank	This field specifies the bank at which the Sender wishes to receive credit for charges claimed.	
Charges	The user should be able to input more details about the charges	
Sender to Receiver Information	This field should be available for the user to enter any additional information the advising bank sends to the Issuing bank. This can be maintained as an FFT	
Narrative	Narrative if applicable.	
File Identification	This field is used to identify the delivery channel. This is applicable only from 1 Nov 2021.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny Stage Inputs.	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Local Guarantee

Guarantee Issuance - Scrutiny :: Application No: PK2GTEI000061525

Screen (3 / 5)

Main
 Guarantee Preferences
Local Guarantee
 Additional Details
 Summary

Local Guarantee
 Guarantee Details - Sequence C

22D - Form of Undertaking

Applicant
 001044 GOODCARE PLC

41a - Available with

35G - Expiry Condition/ Event

Auto Extension Details

23F - Automatic Extension Req'd

26E - Non Extension Notice Period

Transfer Details

48D - Transfer Indicator

Demand Details

48B - Demand Indicator

Underlying Transaction Details

45L - Underlying Transaction Details

Delivery of Local Undertaking

24E - Delivery of Local Undertaking

77L - Req. Local Undertaking T & C

44H - Governing Law

31C - Requested Issue Date

22Y - Standard Wording Required

23F - Auto Extension Period

315 - Auto Extension Final Expiry Date

39E - Transfer Conditions

24E - Narrative

40C - Applicable Rules

Beneficiary

238 - Expiry Type

40D - Guarantee Language

Extension Details

22K - Type of Undertaking

32B - Undertaking Amount
 USD US\$1,200.00

Expiry Date

Documents and Presentation Instructions

78 - Non Extension Details


24G - Narrative

Delivery to/Collection By

Reject Refer Hold Cancel Save & Close Back Next

User must provide Local Guarantee (Sequence C) details. Values from [Guarantee Preferences](#) (Sequence B) and [Main Details](#) will get defaulted to few of fields in this section. Provide the details based on the description in the following table:

Field	Description	Sample Values
Form of Undertaking	<p>Read only field.</p> <p>The value will get defaulted from Main Details.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Req. Local Undertaking T & C	<p>Specify the terms and conditions.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is populated from Incoming MT 760. User can modify this field.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is populated from Incoming MT 760. User can modify this field.</p> <p>This field displays the content from MT760 and all applicable MT761.</p>	

Field	Description	Sample Values
Applicable Rules	<p>The value will get defaulted from Main Details.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Type of Undertaking	<p>Select the type of undertaking from the following available options:</p> <ul style="list-style-type: none"> ● APAY - Advance Payment ● BILL - Bill of Lading ● CUST - Customs ● DPAY - Direct Pay ● INSU - Insurance ● JUDI - Judicial ● LEAS - Lease ● PAYM - Payment ● PERF - Performance ● RETN - Retention ● SHIP - Shipping ● TEND - Tender or Bid ● WARR - Warranty/ maintenance ● OTHR - Others <p>The value will get defaulted from Main Details.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Narrative	<p>Provide the narrative.</p> <div style="text-align: center;">  <p>Note</p> </div> <p>This field is applicable only if the Type of Undertaking field value is OTHR.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	

Field	Description	Sample Values
Applicant	<p>Read only field.</p> <p>The value will get defaulted from Main Details.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Beneficiary	<p>Read only field.</p> <p>The value will get defaulted from Main Details.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Undertaking Amount	<p>Read only field.</p> <p>The value will get defaulted from Main Details.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Available With	<p>Read only field.</p> <p>The value will get defaulted from Guarantee Preferences.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Requested Issue Date	<p>Provide the date on or by which the requested local undertaking must be issued.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	




Field	Description	Sample Values
Expiry Type	<p>This field indicates whether undertaking has specified expiry date or is open-ended. Select the applicable value from the available options:</p> <ul style="list-style-type: none"> • COND - Conditional Expiry • FIXD - Specified expiry date (with/without automatic expansion) • OPEN - No specific date of expiry <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Expiry Date	<p>Provide the expiry date.</p> <p>This field is applicable only if Expiry Type field has value Fixed.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Expiry Event	<p>Read only field.</p> <p>The value will get defaulted from Main Details.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Standard Wording Required	<p>Select the check box if standard wording is required for the terms and conditions.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Guarantee Language	<p>Select the guarantee language from the LOV.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is populated from Incoming MT 760. User can modify the field.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is populated from Incoming MT 760. User can modify the field.</p>	



Field	Description	Sample Values
Documents and Presentation Instructions	<p>Select the documents and presentation instructions from the LOV.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Additional Amounts	<p>Provide any additional amounts related to undertaking.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Governing Law	<p>Select the applicable governing law and jurisdiction for the undertaking.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	

Auto Extension Details

Auto extension details is applicable only if it is enabled in [Guarantee Preferences](#). The values will be defaulted from [Guarantee Preferences](#) and can be amended, if required. Provide the Automatic Extension Details based on the description in the following table:

Field	Description	Sample Values
Automatic Extension Required	<p>Toggle On: Set the toggle On, if automatic extension for expiry date is required.</p> <p>Toggle Off: Set the toggle Off, if automatic extension for expiry date is not required.</p> <p>Note: This field is not applicable Validity field in registration stage has value as Open.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	

Field	Description	Sample Values
Auto Extension Period	<p>Select the auto extension period for expiry date from the following options:</p> <ul style="list-style-type: none"> • Days • One year • Others  <p>Note</p> <p>This field is applicable only if Auto Extension Required toggle is set to On.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Extension Details	<p>Provide the extension details for the expiry date.</p>  <p>Note</p> <p>This field is applicable only if Auto Extension Period field value is Days/ Others.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is populated from Incoming MT 760. User can modify this field.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is populated from Incoming MT 760. User can modify this field.</p>	
Non-Extension Notice	<p>Provide the non-extension notice days.</p>  <p>Note</p> <p>This field is applicable only if Auto Extension Period field has values.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is populated from Incoming MT 760. User can modify this field.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is populated from Incoming MT 760. User can modify this field.</p>	

Field	Description	Sample Values
Non-Extension Details	<p>Provide the non-extension details for automatic expiry date extension such as notification methods or notification recipient details.</p>  <p>Note This field is applicable only if Auto Extension Period field has values.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is populated from Incoming MT 760. User can modify this field.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is populated from Incoming MT 760. User can modify this field.</p>	
Auto Extension Final Expiry Date	<p>Provide the final extension date for automatic expiry date extension after which no automatic extension is allowed.</p>  <p>Note This field is applicable only if Auto Extension Period field has values.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is populated from Incoming MT 760. User can modify this field.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is populated from Incoming MT 760. User can modify this field.</p>	

Transfer Details

Field	Description	Sample Values
Transfer Indicator	Read only field. The value will get defaulted from Guarantee Preferences .	
Transfer Conditions	Read only field. The value will get defaulted from Guarantee Preferences .	



Demand Details



Field	Description	Sample Values
Demand Indicator	Read only field. The value will get defaulted from Guarantee Preferences . If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	

Underlying Transaction Details

Field	Description	Sample Values
Underlying Transaction Details	Select the underlying business transaction details (for which the undertaking is issued) from the LOV. If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760. If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.	

Delivery of Original Undertaking

Field	Description	Sample Values
Delivery of Original Undertaking	<p>Select the method of the delivery from the following options by which the original local undertaking needs to be delivered:</p> <ul style="list-style-type: none"> • COLL - By Collection • COUR - By Courier • MAIL - By Mail • MESS - By Messenger - Hand Deliver • OTHR - Other Method • REGM - By Registered Mail or Airmail <p> Note This field is not applicable, if Purpose of Message field value is ICCO/ISCO.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	
Narrative	<p>Provide the description of method of delivery of original undertaking.</p> <p> Note This field is applicable only if the Delivery of Original Undertaking field value is COUR/OTHR.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p>	

Field	Description	Sample Values
Delivery to/Collection by	<p>Select the details of to whom the original local undertaking is to be delivered or by whom the original local undertaking is to be collected:</p> <ul style="list-style-type: none"> • BENE - Beneficiary • OTHR - Others <div style="text-align: center;">  <p>Note</p> <p>This field is not applicable, if Purpose of Message field value is ICCO/ISCO.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p> </div>	
Narrative	<p>Provide the narrative.</p> <div style="text-align: center;">  <p>Note</p> <p>This field is applicable only if the Delivery to/Collection by field value is OTHR.</p> <p>If the Guarantee Issuance is at Counter Issuing Bank (CIB), the field is Read only and populated from Incoming MT 760.</p> <p>If the Guarantee Issuance is at Local Issuing Bank (LIB), the field is Read only and populated from Incoming MT 760.</p> </div>	

Additional Details

Guarantee Issuance - Scrutiny :: Application No: PK1GTEI000003520

Overrides Incoming Message

- Main
- Guarantee Preferences
- Local Guarantee
- Additional Details**
- Summary

Additional Details

Screen (4 / 5)

Limit & Collateral	Charge Details
Limit Currency :	Charge :
Limit Contribution :	Commission :
Limit Status :	Tax :
Collateral Currency :	Block Status :
Collateral :	
Contribution :	
Collateral Status :	

Audit Reject Refer Hold Cancel Save & Close Back Next

Limits & Collateral

If the Guarantee Issuance is at Counter Issuing Bank (CIB), the user can enter the details.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

If the Guarantee Issuance is at Local Issuing Bank (LIB), the user can enter the details.

Limits and Collaterals

Limit Details

Customer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
No data to display.									

Cash Collateral Details

Collateral Percentage *

Collateral Currency and amount

Exchange Rate

Sequence Number	Settlement Account	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Respons
1			PK20010440017	1	100			

Deposit Linkage Details


Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
<input type="checkbox"/> PK2CDP1221100002	GBP	2023-04-20	GBP	87508	£495.00	PK2CDP1221100002	<input type="button" value="Delete"/>


Save & Close Cancel

Provide the Limit Details based on the description in the following table:

Limit Details
✕

<p>Customer Id 001044 <input type="text"/></p> <p>Contribution % * 1.0 <input type="text"/></p> <p>Contribution Currency GBP <input type="text"/></p> <p>Limit/Liability Currency GBP <input type="text"/></p> <p>Limit Check Response Available <input type="text"/></p> <p>Expiry Date <input type="text"/></p> <p>Response Message The Earmark can be performed as the f <input type="text"/></p>	<p>Linkage Type * Facility <input type="text"/></p> <p>Liability Number * PK2LIAB01 <input type="text"/></p> <p>Line Id/Linkage Ref No * PK2L01SL1 <input type="text"/></p> <p>Limits Description <input type="text"/></p> <p>Contribution Amount * <input type="text" value="£220.00"/></p> <p>Limit Available Amount <input type="text" value="£999,999,903.89"/></p> <p>ELCM Reference Number <input type="text"/></p>
--	---

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	
Limit Details Click + plus icon to add new limit details. Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.		
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Linkage Type	Select the linkage type. Linkage type can be: <ul style="list-style-type: none"> • Facility • Liability By default Linkage Type should be "Facility".	
Contribution%	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	

Field	Description	Sample Values
Liability Number	Click Search to search and select the Liability Number from the look-up. The list has all the Liabilities mapped to the customer.	
Contribution Currency	The LC currency will be defaulted in this field.	
Line ID/Linkage Ref No	Click Search to search and select the from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.  <p>Note</p> <p>User can also select expired Line ID from the lookup and on clicking the verify button, system should default “The Earmarking cannot be performed as the Line ID is Expired” in the “Response Message” field.</p> <p>This field is disabled and read only, if Linkage Type is Liability.</p>	
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.	
Limit/ Liability Currency	Limit Currency will be defaulted in this field, when you select the Liability Number	
Limits Description	This field displays the limits description.	
Limit Check Response	Response can be 'Success' or 'Limit not Available' based on the limit service call response.	
Contribution Amount	Contribution amount will default based on the contribution %. User can change the value.	
Expiry Date	This field displays the date up to which the Line is valid	

Field	Description	Sample Values
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the Verify button.	
Response Message	Detailed Response message. The value in this field appears, if you click the Verify button.	
ELCM Reference Number	This field displays the ELCM reference number.	

Collateral Details

Provide the collateral details based on the description provided in the following table:

Collateral Details
✕

<p>Total Collateral Amount * <input type="text" value="\$67.00"/></p> <p>Sequence Number <input type="text" value="2.0"/></p> <p>Collateral Contribution Amount * <input type="text" value="\$67.00"/></p> <p>Settlement Account Currency <input type="text" value="GBP"/></p> <p>Contribution Amount in Account Currency <input type="text" value="£0.00"/></p> <p>Response <input type="text" value="VS"/></p> <p style="text-align: center;"><input type="button" value="Verify"/></p>	<p>Collateral Amount to be Collected * <input type="text" value="\$0.00"/></p> <p>Collateral Split % * <input type="text" value="100.0"/> <input type="button" value="v"/> <input type="button" value="^"/></p> <p>Settlement Account * <input type="text" value="PK1000327018"/> <input type="button" value="Q"/></p> <p>Exchange Rate <input type="text" value="1.3"/> <input type="button" value="v"/> <input type="button" value="^"/></p> <p>Account Available Amount <input type="text" value="£99,999,393,343.91"/></p> <p>Response Message <input type="text" value="The amount block can be performed as"/></p>
---	--

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	

Field	Description	Sample Values
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	


Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Select the Settlement Account Currency.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. Account available amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'. System populates the response on clicking the Verify button.	
Response Message	Detailed Response message. System populates the response on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	

Field	Description	Sample Values
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Collateral %	<p>User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Collateral Contribution Amount	<p>Collateral contribution amount will get defaulted in this field.</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified".</p>	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Deposit Linkage Details

In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.

Deposit Linkage Details
✕

Deposit Account

Deposit Available Amount

Exchange Rate

Linkage Percentage % *

Deposit Branch

Deposit Maturity Date


Deposit Available In Transaction Currency

Linkage Amount(Transaction Currency) *

Field	Description	Sample Values
Click + plus icon to add new deposit details.		
Deposit Account	Click Search to search and select the deposit account from the look-up. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.	
Deposit Branch	Branch will be auto populated based on the Deposit account selection.	
Deposit Available Amount	Amount will be auto-populated based on the Deposit Account selection.	
Deposit Maturity Date	Maturity Date of deposit is displayed based on the Deposit Account selection.	
Exchange Rate	Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.	
Deposit Available in Transaction Currency	Deposit amount available should be displayed after exchange rate conversion, if applicable.	
Linkage Percentage%	Specify the value for linkage percentage.	
Linkage Amount (Transaction Currency):	System to default the transaction amount user can change the value. System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.	

Below fields appear in the **Deposit Details** grid along with the above fields.

Deposit Currency	The currency will get defaulted in this field.
------------------	--

Field	Description	Sample Values
Transaction Currency	The currency will get defaulted in this field from the underlying task.	
Delete Icon 	Click minus icon to remove the existing Linked deposit details by selecting the Deposit.	
Edit Link	Click edit link to edit any existing deposit Details.	

Commission, Charges and Taxes Details

After payment, click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

The system also default the Charges/Commission Party maintained for the customer as per defined Class Maintenance in OBTF. System simulates the Charges, Commission and Tax details from the Back office.

If the Guarantee Issuance is at Counter Issuing Bank (CIB), charges are simulated from back office, user can change the details.

If the Guarantee Issuance is at Local Issuing Bank (LIB), charges are simulated from back office, user can change the details.

Recalculate
Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Split	Charge Party	Settlement Account
No data to display.										

Page 1 of 0 (0 of 0 items) < >

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Split	Charge Party	Settlement Account
CHGTRAMND			GBP	£100.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		PK20010440017

Page 1 of 1 (1 of 1 items) < >

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Split Settlement

select	Component	Currency	Amount
<input checked="" type="checkbox"/>	CHGTRAMND_LIQD	GBP	£100.00

Page 1 of 1 (1 of 1 items) < >

Split Settlement Details

Sequence	Component	Amount	Percentage	Branch	Account Currency	Account	Exchange Rate	Original Exchange Rate	Party Type	Customer	AR-AP Tracking	Loan/
1	CHGTRAMND_LIQD_S01	50	50.00	PK2	GBP	PK200104	1	1	BEN	001044	<input type="checkbox"/>	N
2	CHGTRAMND_LIQD_S02	50	50.00	PK2	GBP	PK20037	1	1	ISB	003763	<input type="checkbox"/>	N

Save & Close
Close

Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	<p>Select the check box to waive charges/ commission.</p> <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Split	The user can split the Commission by enabling/ disabling the flag as per the requirement.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	<p>Details of the Settlement Account is defaulted.</p> <p>User can change the settlement account.</p>	

Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	

Field	Description	Sample Values
Split	The bank User can split the Charges/Commission by enabling/disabling the flag as per the requirement.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Provide the Tax Details based on the information in the following table:

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Split Settlement

Once the user clicks on the Recalculate button to fetch the Split Settlement details from Backoffice, new section "Split Settlement" will appear below the 'Tax' section. The default parties in Split row should be fetched from OBTF.

Field	Description	Sample Values
Component	The split component type eligible for Split .	
Currency	The currency of split settlement.	
Amount	The amount of split settlement.	

Split Settlement Details

Split Settlement details section appears from Back office, when the user clicks on the Recalculate button.

Split Settlement Details ×

Component	Amount
CHGTRAMND_LIQD_S01	50
Customer	<input type="checkbox"/>
001044	
Account	Account Currency
PK20010440017	GBP
Branch	Percentage
PK2	50.00
Exchange Rate	Original Exchange Rate
1	1
Party Type	Negotiation Reference
BEN	
AR-AP Tracking	Loan/Finance Account
<input type="checkbox"/>	N
Negotiation Rate	

Field	Description	Sample Values
Sequence	The sequence number is auto populated with the value, generated by the system.	
Component	The split component type eligible for Split.	
Amount	The system splits the respective Charge/ Commission amount automatically between counter party and third party with 50% value by default. The bank user can modify the amount. More than two splits are not allowed.	
Customer	Indicates the ID of the Customer in Split Settlement Details section.	
Account	The system defaults the settlement account. User can modify the settlement account. System initiates a call to common core tables within OBTFPM to select the account	
Account Currency	Defaults the currency of the account.	
Branch	Indicates the branch of the customer where transaction is getting processed.	
Percentage	The system splits the respective Charge/ Commission percentage automatically between counter party and third party with 50% value by default. More than two splits are not allowed. The bank user can modify the amount. The system should validate that the total percentage of each component doesn't exceed 100 and the total amount of each component doesn't exceed total component amount.	
Exchange Rate	System populates the exchange rate maintained.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in split settlement details section.	
Party Type	System displays the party type in split settlement details section.	
Negotiation Reference	Specify the negotiation reference number.	
AR-AP Tracking	Indicates to defer the charge/ commission in Split Settlement Details section. The user can modify the AR-AP Tracking flag as per the requirements.	
Loan/Finance Account	Displays the loan account.	

Field	Description	Sample Values
Negotiation Rate	Specify the negotiation rate.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny Stage Inputs.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Summary

User can review the summary of details updated in scrutiny Guarantee Issuance request.

The summary tiles displays a list of important fields with values. User must be also able to drill down from summary tiles into respective data segments.

Guarantee Issuance - Scrutiny :: Application No: PK1GTEI000003520

Summary

Main	Guarantee Preferences	Local Guarantee	Limits and Collaterals
SBLC/Guarantee Type : APAY Submission Mode : Desk Date of Issue : 2021-05-05	Collection by : Delivery of Original : Amendment :	Collection by : Delivery of Original : Amendment :	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified

Commission, Charges and Taxes

Charge :
 Commission :
 Tax :
 Block Status : **Not Initia**

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view application details and Guarantee details.
- Guarantee Preferences - User can view the guarantee preferences.
- Local Guarantee - User can view the local guarantee.
- Party Details - User can view party details like beneficiary, advising bank etc.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges and Taxes - User can view charge details.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Submit	Task will get moved to next logical stage of Guarantee Issuance. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny Stage Inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Data Enrichment

As part of data enrichment, you can enter/update basic details of the incoming request.



Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task which completed the registration and scrutiny and currently at Data enrichment stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard for Futura Bank displays several key performance indicators and task-related widgets. The 'Draft Confirmation Pending' widget shows a table with columns for Customer Name, Application Date, and Status. The 'Hand-off Failure' widget shows a table with Branch, Process Name, and Stage Name. The 'Priority Details' widget shows a table with Branch, Process Name, and Stage Name. The 'High Value Transactions' widget features a bubble chart with a Y-axis ranging from -20K to 140K and an X-axis from -2 to 12. The 'SLA Breach Details' widget shows a table with Customer Name, SLA Breached (mins), and Priority. The 'Priority Summary' widget shows a table with Branch, Process Name, and Stage Name. The 'Hold Transactions' widget shows a table with Branch, Process Name, and Stage Name. The 'SLA Status' widget shows a dropdown menu with 'Cucumber Testing' selected. The 'Tasks Detailed' widget shows a table with Process Reference Number and Process Name.

3. Click Trade Finance > Tasks > Free Tasks.

The Oracle Free Tasks page displays a table of tasks. The 'Free Tasks' menu item is highlighted in the left sidebar. The table has the following columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, and Cl. The first row shows a task with Action 'Acquire & Edit', Priority 'M', Process Name 'Guarantee Issuance', Process Reference Number '300GTEI000030653', Application Number '300GTEI000030653', Stage 'DataEnrichment', Application Date '20-04-20', Branch '300', and Cl '0'. The second row shows a task with Action 'Acquire & Edit', Priority 'H', Process Name 'Import Documentary- B...', Process Reference Number '300IDCB000030700', Application Number '300IDCB000030700', Stage 'DataEnrichment', Application Date '20-04-20', Branch '300', and Cl '0'. The third row shows a task with Action 'Acquire & Edit', Priority 'M', Process Name 'Import Documentary- B...', Process Reference Number '000IDCB000030683', Application Number '000IDCB000030683', Stage 'Approval Task Level 1', Application Date '70-01-01', Branch '300', and Cl '0'. The fourth row shows a task with Action 'Acquire & Edit', Priority 'M', Process Name 'Export LC Cancellation', Process Reference Number 'GS1ILCI000028828', Application Number 'GS1ILCI000028828', Stage 'HandoffRetryTask', Application Date '70-01-01', Branch 'GS1', and Cl '0'. The fifth row shows a task with Action 'Acquire & Edit', Priority 'M', Process Name 'Export LC Liquidation', Process Reference Number '300ELCL000030681', Application Number '300ELCL000030681', Stage 'Approval Task Level 1', Application Date '70-01-01', Branch '300', and Cl '0'. The sixth row shows a task with Action 'Acquire & Edit', Priority 'M', Process Name 'Export LC Cancellation', Process Reference Number '300ELCC000030570', Application Number '300ELCC000030570', Stage 'Registration', Application Date '20-04-18', Branch '300', and Cl '0'. The seventh row shows a task with Action 'Acquire & Edit', Priority 'M', Process Name 'Export LC Update Drawi...', Process Reference Number '300ELCU000030671', Application Number '300ELCU000030671', Stage 'Approval Task Level 1', Application Date '70-01-01', Branch '300', and Cl '0'. The eighth row shows a task with Action 'Acquire & Edit', Priority 'M', Process Name 'Export LC Drawing', Process Reference Number '300ELCD000030665', Application Number '300ELCD000030665', Stage 'Approval Task Level 1', Application Date '70-01-01', Branch '300', and Cl '0'. The ninth row shows a task with Action 'Acquire & Edit', Priority 'H', Process Name 'Import Documentary- B...', Process Reference Number '300IDCB000030667', Application Number '300IDCB000030667', Stage 'DataEnrichment', Application Date '20-04-20', Branch '300', and Cl '0'. The tenth row shows a task with Action 'Acquire & Edit', Priority 'M', Process Name 'Export LC Advising', Process Reference Number '300ELCA000030639', Application Number '300ELCA000030639', Stage 'Approval Task Level 1', Application Date '70-01-01', Branch '300', and Cl '0'. The page shows 1 of 48 items (1 - 20 of 957 items).

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

The Oracle Free Tasks page displays a table of tasks. The 'Free Tasks' menu item is highlighted in the left sidebar. The table has the following columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, and Cl. The first row shows a task with Action 'Acquire & Edit', Priority 'M', Process Name 'Guarantee Issuance', Process Reference Number '300GTEI000030653', Application Number '300GTEI000030653', Stage 'Scrutiny', Application Date '20-04-20', Branch '300', and Cl '0'. The second row shows a task with Action 'Acquire & Edit', Priority 'M', Process Name 'Export Documentary Li...', Process Reference Number '300EDCL000030634', Application Number '300EDCL000030634', Stage 'Approval Task Level 1', Application Date '70-01-01', Branch '300', and Cl '0'. The third row shows a task with Action 'Acquire & Edit', Priority 'M', Process Name 'Import Documentary Li...', Process Reference Number '300IDCL000030640', Application Number '300IDCL000030640', Stage 'Approval Task Level 1', Application Date '70-01-01', Branch '300', and Cl '0'. The fourth row shows a task with Action 'Acquire & Edit', Priority 'M', Process Name 'Export Documentary- B...', Process Reference Number '300EDCB000029491', Application Number '300EDCB000029491', Stage 'DataEnrichment', Application Date '70-01-01', Branch '300', and Cl '0'. The fifth row shows a task with Action 'Acquire & Edit', Priority 'M', Process Name 'Export Documentary- B...', Process Reference Number '300EDCB000029489', Application Number '300EDCB000029489', Stage 'DataEnrichment', Application Date '70-01-01', Branch '300', and Cl '0'. The sixth row shows a task with Action 'Acquire & Edit', Priority 'M', Process Name 'Export Documentary- B...', Process Reference Number '300EDCB000029490', Application Number '300EDCB000029490', Stage 'Registration', Application Date '70-01-01', Branch '300', and Cl '0'. The seventh row shows a task with Action 'Acquire & Edit', Priority 'M', Process Name 'Export Documentary- B...', Process Reference Number '300EDCB000029487', Application Number '300EDCB000029487', Stage 'DataEnrichment', Application Date '70-01-01', Branch '300', and Cl '0'. The eighth row shows a task with Action 'Acquire & Edit', Priority 'M', Process Name 'Export Documentary- B...', Process Reference Number '300EDCB000029488', Application Number '300EDCB000029488', Stage 'Registration', Application Date '70-01-01', Branch '300', and Cl '0'. The ninth row shows a task with Action 'Acquire & Edit', Priority 'M', Process Name 'Export Documentary- B...', Process Reference Number '300EDCB000029486', Application Number '300EDCB000029486', Stage 'Registration', Application Date '70-01-01', Branch '300', and Cl '0'. The tenth row shows a task with Action 'Acquire & Edit', Priority 'M', Process Name 'Guarantee Issuance', Process Reference Number '300GTEI000029484', Application Number '300GTEI000029484', Stage 'Registration', Application Date '70-01-01', Branch '000', and Cl '0'. The page shows 1 of 48 items (1 - 20 of 948 items).

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for data enrichment stage.

The screenshot shows the Oracle 'My Tasks' interface. On the left is a navigation menu with 'My Tasks' highlighted in red. The main area displays a table with the following data:

Ac...	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer
Edit	M	Guarantee Issuance	300GTEI000030653	300GTEI000030653	DataEnrichment	20-04-20	300	001506

At the bottom of the table, it shows 'Page 1 of 1 (1 - 1 of 1 items)'.

The Data Enrichment stage has five sections as follows:

- Main Details
- Guarantee Preferences
- Documents and Instructions
- Local Guarantee
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Main Details

Refer to [Main Details](#).

Guarantee Preferences

Refer to [Guarantee Preferences](#).

Document Details

Documents maintained at the product level will be populated and user must be able to update the details if required.

Select	Code	Name	Copy	Original	Description
<input type="checkbox"/>	INVOICE	INVOICE			SIGNED COMMERCIAL INVOICE IN COPIES INDICATING T

Local Guarantee

Refer to [Local Guarantee](#).

Additional Fields

Banks can configure these additional fields during implementation.

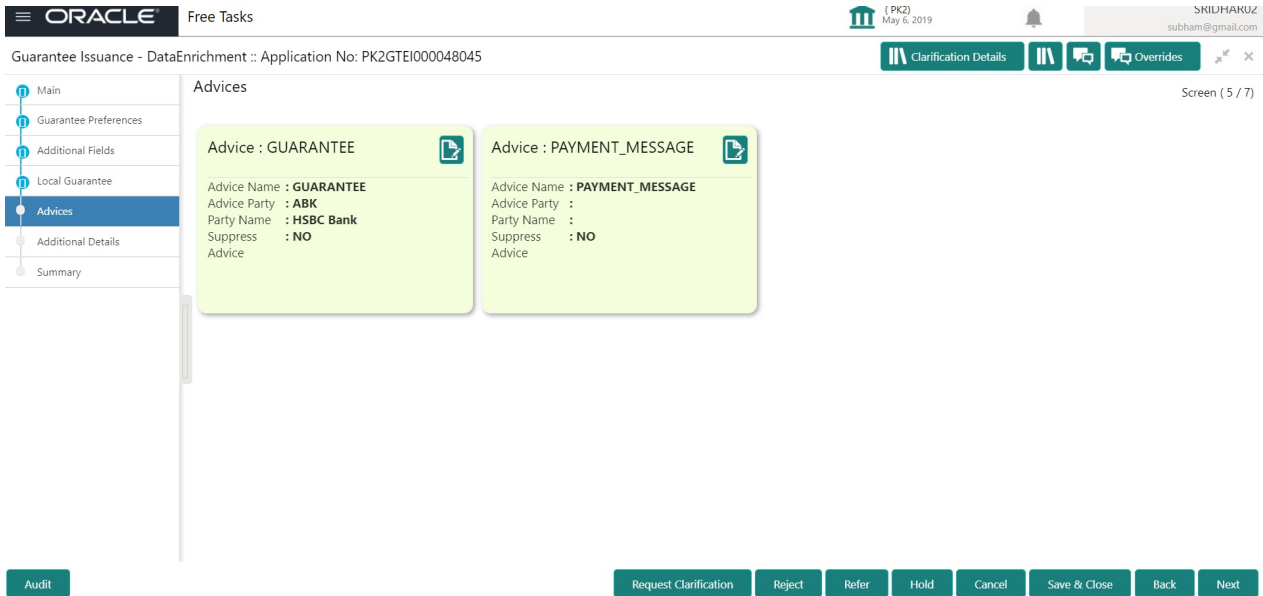
No Additional fields configured!

Advices

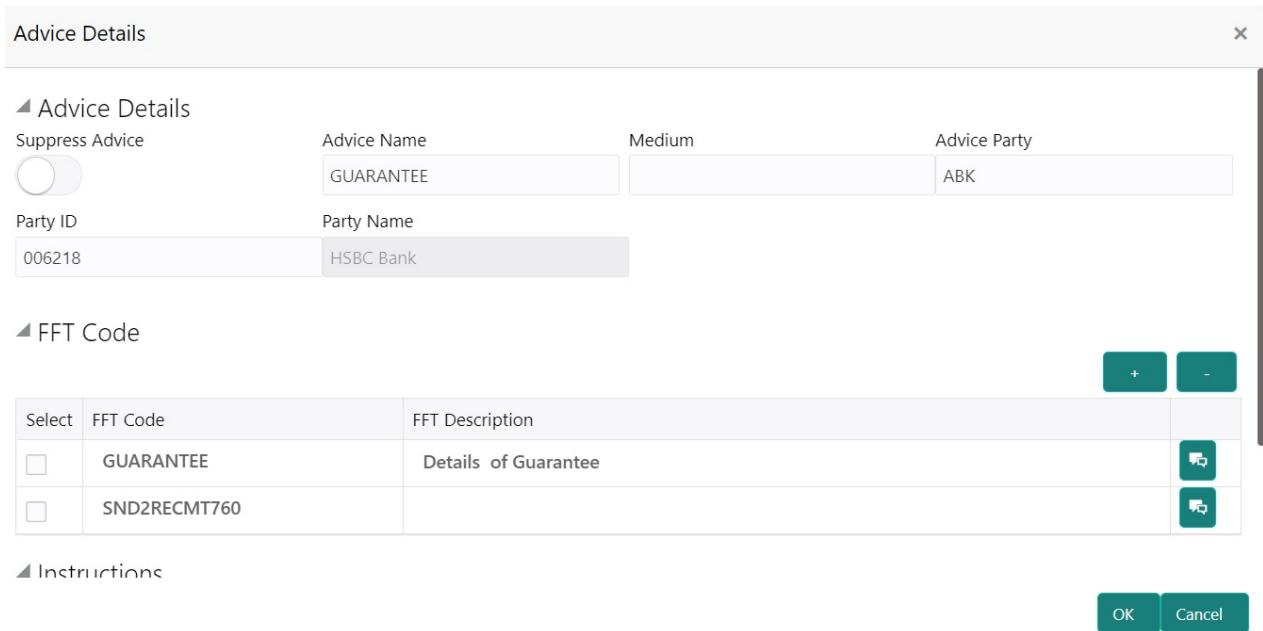
Advices menu displays the advices from the back office as tiles. User can edit the fields in the tile, if required.

If the Guarantee Issuance is at Counter Issuing Bank (CIB) - Guarantee Instrument (MT 760), Acknowledgment (MT 768)





If the Guarantee Issuance is at Local Issuing Bank (LIB) - Guarantee Instrument - Mail Advice, Acknowledgment (MT 768)



The user can also suppress the Advice, if required.



Field	Description	Sample Values
Suppress Advice	<p>Toggle on: Switch on the toggle if advice is suppressed.</p> <p>Toggle off: Switch off the toggle if suppress advice is not required for the amendments</p>	
Advice Name	User can select the advise name.	

Field	Description	Sample Values
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC Issuance.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
	Click minus icon to remove any existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the instruction code selected.	
	Click plus icon to add new instruction code.	
	Click minus icon to remove any existing instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	<p>Task will get moved to next logical stage of Guarantee Issuance.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	<p>Cancel the Data Enrichment Stage Inputs.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Additional Details

Guarantee Issuance - DataEnrichment :: Application No: PK1GTEI000003520

Screen (6 / 8)

Limit & Collateral	Charge Details	Preview Message	Create Template
Limit Currency : Limit Contribution : Limit Status : Collateral Currency : Collateral : Contribution : Collateral Status :	Charge : Commission : Tax : Block Status :	Confirmation Req. : Confirm Response : Response Date :	Template Code : -

Audit

Reject Refer Hold Cancel Save & Close Back Next

Limit & Collateral

Refer to [Limits & Collateral](#).

Commission, Charges and Taxes Details

Refer to [Commission, Charges and Taxes Details](#).

Preview Message

This screen provides preview of draft guarantee details. If required, the draft can be sent for legal verification to legal team and draft confirmation to customer. The system sends Draft MT760 along with up to seven MT761 messages as attachment to the customer.

The OBTFPM user can send the draft of the message to the registered email id of the corporate customer as an attachment containing PDF. The PDF sent to the corporate customer is protected by a password. Password to be generated with first four digits of Customer Name and last four digits of Customer Number.

The Transaction Reference Number is masked, before sending the Draft Import LC for Customer approval.

If the Guarantee Issuance is at Counter Issuing Bank (CIB)/ Local Issuing Bank (LIB), preview message is populated with the outgoing MT760 and all the applicable MT761.

Guarantee Text

Select the language to preview the draft guarantee details. Based on the guarantee text captured in the previous screen, guarantee draft is generated in the back office and is displayed in this screen.

Legal Verification

Set the Legal Verification toggle on, if the guarantee message is to be verified and approved by Legal department before issue. The Legal Verification details must be captured in legal verification stage.

Draft Confirmation

Set the Draft Confirmation Required toggle on, if the guarantee message needs to be approved by customer before issue.



Note

A bank user can share the Draft SWIFT message to the customer through email, before the actual transmission of SWIFT message to the Advising Bank.

Preview Messages

Preview - SWIFT Message

Language: English | Message Type: Select

Preview Advice

Preview - Mail Advice

Language: English | Advice Type: LC_INSTRUMENT

Preview Advice

DATE : 01-FEB-19 | PAGE : 1

LC INSTRUMENT - BANK'S COPY

NESTLE
NESGGB2SXXX

DOCUMENTARY CREDIT

LETTER OF CREDIT INSTRUMENT

Draft Confirmation

Draft Confirmation Required:

Customer Remarks:

Customer Email ID 1 *:

Customer Response:

Response Date:

Customer Email ID 2:

Field	Description	Sample Values
Preview - SWIFT Message		
Language	Read only field. English is set as default language for the preview.	
Message type	Select the message type from the drop down. User can choose to see preview of different message like MT 700, MT 740 and MT 701.	
Preview Message	Display a preview of the draft message.	
Preview - Mail Device		
Language	Read only field. English is set as default language for the preview.	
Advice Type	Select the advice type.	

Field	Description	Sample Values
Preview Message	Display a preview of advice.	
Draft Confirmation		
Draft Confirmation Required	This toggle enables the user to select if draft confirmation is required or not	
Following fields will have values on receipt of customer response.		
Customer Response	User can enter the response received from customer. If the response is received online, the response is auto populated in this field by the system	
Customer Remarks	Remarks from the customer for the draft	
Response Date	Customer Response received date.	
Customer Email ID 1	Default email address of the customer. System fetches the Email ID from Customer Address maintenance in Back office and auto populates the available Email ID.	
Customer Email ID 2	By default this field is blank. User can search and select the Email ID from lookup from the Customer Email Address field of the customer maintenance in Back Office and replicated in OBTFPM.	

Settlement Details

Guarantee Issuance - DataEnrichment :: Application No: PK1GTEI000003016

Overrides Incoming Message

- Main
- Guarantee Preferences
- Additional Fields
- Local Guarantee
- Advices
- Additional Details
- Settlement Details
- Summary

Settlement Details

Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event	Original Exchange Rate	Exchange Rate	Deal Reference N
AILSR_COM1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AILSR_COM1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AILSR_COM1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AILSR_COMM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AILSR_COMM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AILSR_COMM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
APCHCLASS_LIQD	GBP	Debit	152110003	Domestic Export Sig	GBP	No	No			
APCHCLASS_LIQD	GBP	Debit	152110003	Domestic Export Sig	GBP	No	No			
APCHCLASS_LIQD	GBP	Debit	152110003	Domestic Export Sig	GBP	No	No			

AVL_SET_LCAMT - Party Details

Transfer Type:

Charge Details:

Netting Indicator:

Ordering Customer:

Ordering Institution:

Account With Institution:

Senders Correspondent:

Beneficiary Institution:

Receivers Correspondent:

Ultimate Beneficiary:

Intermediary Institution:

Intermediary Reimbursement Institution:

Payment Details

Provide the settlement details based on the description in the following

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System displays the applicable netting indicator.	
Current Event	System displays the current event as Y or N.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment Stage Inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	

Summary

User can review the summary of details updated in Data Enrichment stage Guarantee Issuance request.

The tiles will display a list of important fields with values. User must be also able to drill down from summary Tiles into respective data segments.

Guarantee Issuance - DataEnrichment :: Application No: PK1GTEI000003520 Screen (8 / 8)

Main	Guarantee Preferences	Additional Fields	Local Guarantee
SBLC/Guarantee Type : APAY Submission Mode : Desk Date of Issue : 2021-05-05	Collection by : Delivery of Original : Amendment	Click here to view : Additional fields	Collection by : Delivery of Original : Amendment
Advices	Limits and Collaterals	Commission,Charges and Taxes	Settlement Details
Advice 1 : Advice 2 :	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Component : Account Number : Currency :
Accounting Details			
Event : AccountNumber : Branch :			

Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view application details and Guarantee details.
- Guarantee Preferences - User can view the guarantee preferences.
- Documents and Instructions - User can view documents and instructions.
- Local Guarantee - User can view the local guarantee.
- Additional Fields - User can view the additional Fields.
- Advices - User can view the advices
- Party Details - User can view party details like beneficiary, advising bank etc.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated by back office system.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “ Value Date is different from Transaction Date for one or more Accounting entries.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Guarantee Issuance. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Exceptions

The Guarantee Issuance request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office.

On successful handoff, back office will make use of these "Amount Block Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office.

If multiple accounts are applicable, Amount Block Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Block Exception

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and guarantee details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Guarantee Details - User can view and modify Counter Guarantee details and Guarantee details, if required.
- Draft Confirmation - User can view and modify draft guarantee details, legal verification and customer confirmation details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	Cancel the Amount Block Exception Inputs.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and guarantee details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	Cancel the KYC Exception inputs.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.



Note

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Application

Refer to [Application Details](#).

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and guarantee details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Guarantee Details - User can view and modify Counter Guarantee details and Guarantee details, if required.
- Draft Confirmation - User can view and modify draft guarantee details, legal verification and customer confirmation details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	Cancel the Limit check inputs.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

In case of MT798, on approval the task is handed off to back office system to create a Guarantee contract and generate the required MT760/761 messages.



The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Authorization Re-Key (Non-Online Channel)

For non-online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Currency
- Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

The screenshot displays the Oracle Flexcube Universal Banking interface. The main window shows a list of tasks under the 'Free Tasks' section. A modal dialog box titled 'Approval Rekey' is open, allowing the user to re-key certain fields. The dialog includes buttons for 'Incoming Message', 'Documents', and 'Remarks'. The 'Currency' field is set to 'GBP' and the 'Amount' field is set to '£25,000.00'. Both fields have green checkmarks indicating they are valid. The background task list includes columns for Action, Priority, Process Name, Stage, Application Date, and Branch.

Action	Priority	Process Name	Stage	Application Date	Branch
Acquire & Edit	M	Import LC Issuance	HandoffRetryTask	70-01-01	000
Acquire & Edit	M	Import Documentary	Approval Task Level 1	70-01-01	300
Acquire & Edit	M	Export LC Cancellation	HandoffRetryTask	70-01-01	GS1
Acquire & Edit	M	Export LC Liquidation	Approval Task Level 1	70-01-01	300
Acquire & Edit	M	Export LC Update De	Approval Task Level 1	70-01-01	300
Acquire & Edit	M	Export LC Drawing	Approval Task Level 1	70-01-01	300
Acquire & Edit	H	Import Documentary	DataEnrichment	20-04-20	300
Acquire & Edit	M	Export LC Advising	Approval Task Level 1	70-01-01	300
Acquire & Edit	M	Export Documentary	Approval Task Level 1	70-01-01	300
Acquire & Edit	M	Import Documentary	Approval Task Level 1	70-01-01	300

Summary

Tiles Displayed in Summary:

- Main Details - User can view details about application details and guarantee details.
- Guarantee Preferences - User can view guarantee preferences.
- Local Guarantee - User can view local guarantee details.
- Additional Fields - User can view the details of additional fields
- Party Details - User can view party details like beneficiary, advising bank etc.
- Limits and Collaterals - User can view limits and collateral details.
- Charge Details - User can view charge details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	Cancel the Guarantee Issuance approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Customer - Acknowledgement letter

Customer Acknowledgment is generated every time a new Guarantee Issuance is requested from the customer. The acknowledgment letter format is as follows:

The Transaction Reference Number is masked before sending the Draft Import LC for Customer approval.

To:

<CUSTOMER NAME>DATE: DD-MM-YYYY

<CUSTOMER ADDRESS>

Dear Sir,

SUB: Acknowledgement to your Guarantee Application number <CUSTOMER REFERENCE NUMBER> dated <APPLICATION DATE>

This letter is to inform you that we have received your application for issue of Guarantee with the below details:

CUSTOMER NAME: <CUSTOMER NAME>

CURRENCY/AMOUNT: < CCY/AMT>

YOUR REFERENCE NO: <CUSTOMER REFERENCE NUMBER>

OUR REF NUMBER: <PROCESS REFERENCE NUMBER>

APPLICANT NAME: <APPLICANT>

BENEFICIARY NAME: <BENEFICIARY>

Bank Guarantee Number: < Bank Guarantee Number>

DATE OF ISSUE: <DATE OF ISSUE>

DATE OF EXPIRY: <DATE OF EXPIRY>

Guaranty Type: <Guarantee Type>

We have also received the following Documents from you for processing the request:

Document Name 1

2. Document Name 2

n. Document Name n

We have registered your request. Please quote our reference < [PROCESS REF NUMBER](#)> in any future correspondence.

This acknowledgement does not constitute issuance of Guarantee.

Thank You for banking with us.

Regards,

<DEMO BANK>

Notice: This document is strictly private, confidential and personal to its recipients and will not be copied, distributed or reproduced in whole or in part, nor passed to any third party. The information contained in this e-mail/ message and/or attachments to it may contain confidential or privileged information. If you are not the intended recipient, any dissemination, use, review, distribution, printing or copying of the information contained in this e-mail message and/or attachments to it are strictly prohibited. If you have received this communication in error, please notify us by reply e-mail or telephone and immediately and permanently delete the message and any attachments. Thank you

Customer - Reject Letter

Reject Letter is generated by the system and addressed to the customer, when a task is rejected by the user. The Reject Letter format is as follows:

FROM:

<BANK NAME>

<BANK ADDRESS>

TO:DATE <DD/MM/YYYY>

<CUSTOMER NAME>

<CUSTOMER ADDRESS>

<CUSTOMER ID>

Dear Sir,

SUB: Your Guarantee Application <Customer Reference Number> under our Process Ref <Process Ref No> - Rejected

Further to your recent Guarantee application request dated <Application Date –DD/MM/YYYY>, under our process ref no <process ref no>, this is to advise you that we will not be able to issue the Guarantee.

After a thorough review of your application and the supporting documents submitted, we have concluded we will not be able to issue the Guarantee due to the below reason

<Reject Reason >

On behalf of Demo Bank, we thank you for your ongoing business and trust we will continue to serve you in future.

For any further queries about details of your Guarantee application review, please contact us at our bank customer support ph.no xxxxxxxxxxxx

Yours Truly

Authorized Signatory

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Guarantee Issuance in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view and modify details about application details and guarantee details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify charge details, if required.
- Guarantee Details - User can view and modify Counter Guarantee details and Guarantee details, if required.
- Draft Confirmation - User can view and modify draft guarantee details, legal verification and customer confirmation details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

- Remarks - As a Reject approval user, you will be able to view the remarks captured in the process during earlier stages. User also can see the Reject code with reason for rejection in the Remarks column

Action Buttons

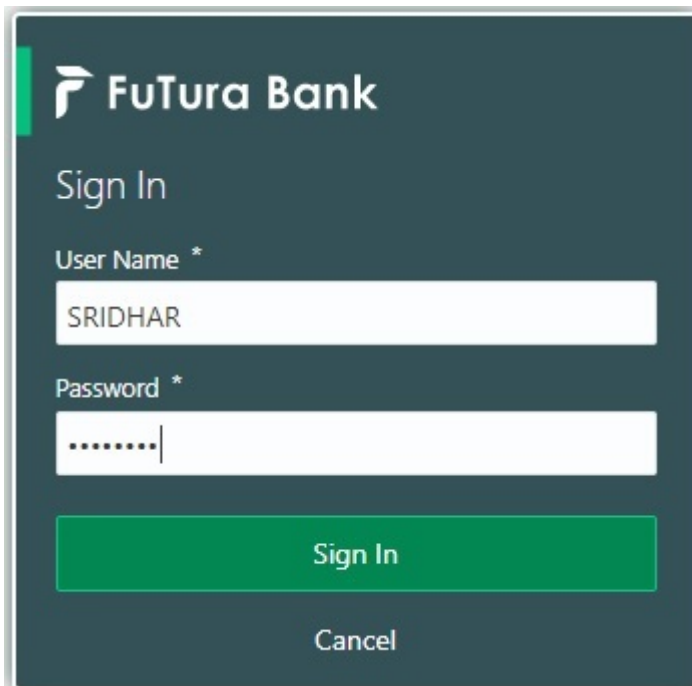
Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

Initiate - Guarantee Issuance Template Maintenance

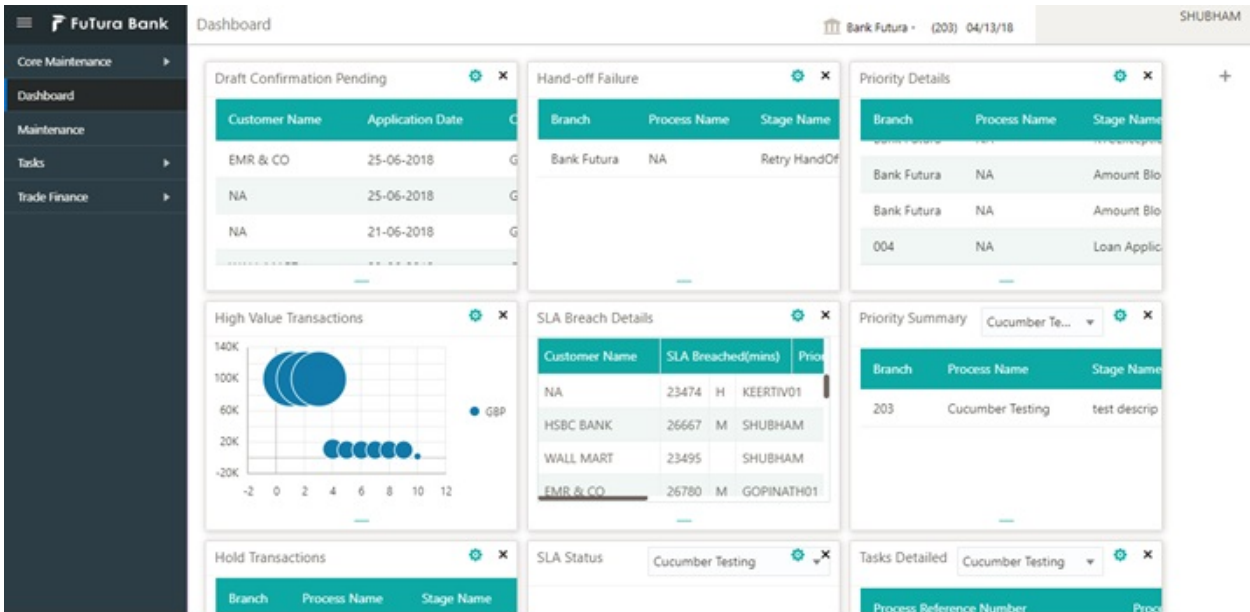
The user can create Guarantee Issuance Template and can use these templates to create Guarantee Issuance. The user can provide the basic application details to initiate the process.

Using the entitled login credentials, login to the OBTFPM application.

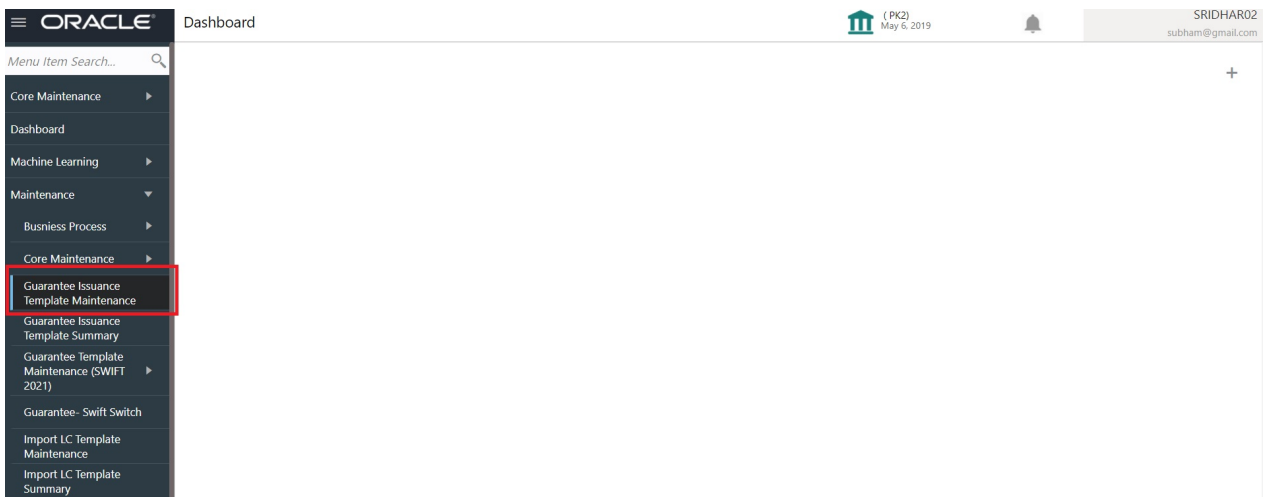


The screenshot shows a login interface for FuTura Bank. At the top left is the FuTura Bank logo. Below it is the text 'Sign In'. There are two input fields: 'User Name *' containing 'SRIDHAR' and 'Password *' containing masked characters. At the bottom, there are two buttons: a green 'Sign In' button and a white 'Cancel' button.

6. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



7. Click **Maintenance > Guarantee Issuance Template Maintenance > Guarantee Issuance Template Maintenance**.



Create Guarantee Issuance Template Maintenance (SWIFT 2021)

User can create a new Guarantee Issuance template maintenance.

The user can capture the basic application details in the Guarantee Issuance Template Maintenance screen. The Guarantee Issuance Template Maintenance screen has three sections as SBLC Guarantee Details, Sequence B and Sequence C.

SBLC/ Guarantee Details

ORACLE Dashboard (300) Jan 1, 2016 JEEV subham@gmail

Create Guarantee Template Maintenance (SWIFT 2021)

Template Code * GUA01 Template Name * GuaranteeIssuanceTemplate01 Branch 004

SBLC/Guarantee Details

Applicant * 000887 TRADE_CIF_DC

22K - Type of Undertaking * CRED - Credit Facilities Guarantee

23X - File Identification

Advising Bank

22D - Form of Undertaking * STBY - Standby LC

22K-Narrative

23X - Narrative

59 - Beneficiary Name * 001083 PALM

Product Code SB93

22A - Purpose of Message * ICCO - Issuance of counter-coun...

40E - Applicable Rules * UCPR - Uniform customs and Pr...

Counter SBLC/Guarantee Issuing Bank * 001505 WFBUIUS6S

Product Description

Stand by LC Issuance (MT 700)-Amour

23B - Expiry Type * OPEN - Open

40C - Narrative

Local SBLC/Guarantee Issuing Bank * 001510 MIZUHO

Sequence B

77U - Terms and Conditions * Test

48B - Demand Indicator Multiple demands not permitted

24G - Delivery to/ Collection by

72-Sender to Receiver Information Sender to Receiver In

49-Confirmation Instructions

44H - Governing Law Governing Law and/c

45L - Underlying Transaction Details

24G - Narrative

71D - Charges

58A - Requested Confirmation Party

23F - Auto Extension Period

24E - Delivery of Local Undertaking

48D - Transfer Indicator

57A-Advise Through Bank

Confirming Bank

Extension Details *

24E - Narrative

39E - Transfer Conditions

41a-Available with POPRIT31063

Sequence C

22D - Form of Undertaking STBY - Standby LC

50 - Applicant Name 000887 TRADE_CIF_000

31C - Date of Issue

23F - Auto Extension Period

48B - Demand Indicator Multiple demands not permitted

24G - Delivery to/ Collection by

77L - Req. Local Undertaking T & C *

44H - Governing Law Governing Law and/c

22V - Standard Wording Required

Extension Details

45L - Underlying Transaction Details

24G - Narrative

40C - Applicable Rules UCPR - Uniform customs and Pr...

59 - Beneficiary Name 001083 PALM

Guarantee Language

48D - Transfer Indicator

24E - Delivery of Local Undertaking

22K - Type of Undertaking CRED - Credit Facilities Guarantee

41a-Available with POPRIT31063

Documents and Presentation Instructions

39E - Transfer Conditions


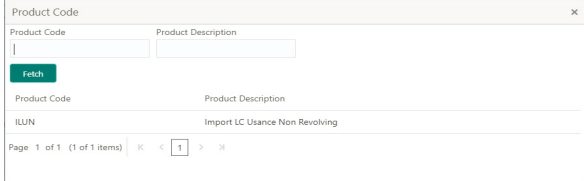
24E - Narrative

Save Cancel

Provide the Guarantee Details based on the description in the following table:

Field	Description	Sample Values
Template Code	User can enter the Guarantee Issuance Template Code.	
Template Name	User can enter the Guarantee Issuance Template name.	
Branch	User can enter the branch.	203-Bank Futura -Branch FZ1

Guarantee Details

Field	Description	Sample Values
Applicant	<p>Applicant details will be auto populated based on the details provided in Application Details section.</p> <p>Alternatively user can search for the same by using the LOV. As part of LOV criteria; user can input the Customer Number, Customer Name. System will display all the Applicant combination and user can select the Applicant from the list.</p>	001345 Nestle
Form of Undertaking	<p>Select the Form of Undertaking from the available options:</p> <ul style="list-style-type: none"> • DGAR - Guarantee • STBY - Standby LC <p> Note This is a mandatory field.</p>	
Product Code	<p>Select the applicable product code.</p> <p>Click the look up icon to search the product code with code or product description.</p>  <p>You can also enter the product code and on tab out system will validate and populate the selected product description. The product codes will be listed based on the selected value in Form of Undertaking Guarantee or Standby LC and not both.</p>	GUIS
Product Description	<p>Description of the product maintained at the Back Office is displayed based on the Product Code selected.</p>	Guarantee Issuance / Re-issuance upon receiving request

Field	Description	Sample Values
Type of Undertaking	<p>Select the type of undertaking from the following available options:</p> <ul style="list-style-type: none"> • APAY - Advance Payment • BILL - Bill of Lading • CRED - Credit Facilities Guarantee • CUST - Customs • DPAY - Direct Pay • INSU - Insurance • JUDI - Judicial • LEAS - Lease • PAYM - Payment Guarantee • PERF - Performance • Performance Guarantee - Contractual Obligation • Performance Guarantee - Delivery Obligation • Performance Guarantee - Warranty Obligation • RETN - Retention • SHIP - Shipping • TEND - Tender or Bid • WARR - Warranty/ Maintenance • OTHR - Others 	
Narrative	<p>Provide the details of any other type of local undertaking. This field is applicable if the Type of Undertaking has value as OTHR.</p>	
Purpose of message	<p>Select the purpose of message from the LOV:</p> <ul style="list-style-type: none"> • ISSU - Issue of Undertaking In case the guarantee is issued directly to the beneficiary or issued to beneficiary through Advising Bank or Advise Through Bank. • ICCO - Issuance of counter-counter-undertaking and request to issue counter-undertaking The bank receiving the Undertaking (usually through SWIFT MT 760) is required to issue a Counter- undertaking to another bank requesting the third Bank to issue Local Undertaking favoring the Beneficiary. • ISCO - Issuance of counter-undertaking and request to issue local undertaking The bank receiving the Undertaking (usually through SWIFT MT 760) is required to issue Local. 	

Field	Description	Sample Values
Expiry Type	<p>This field indicates whether undertaking has specified expiry date or is open-ended. Select the applicable value from the available options:</p> <ul style="list-style-type: none"> • COND - Conditional Expiry • FIXD - Specified expiry date (with/without automatic expansion) • OPEN - No specific date of expiry 	
File Identification	<p>This field enables the user to select the type of delivery channel and its associated file name or reference from the available values:</p> <ul style="list-style-type: none"> • COUR - Courier delivery (for example FedEx, DHL, UPS) • EMAL - Email transfer • FACT - SWIFTNet FileAct • FAXT - Fax transfer • HOST - Host-to-Host • MAIL - Postal Delivery • OTHR - Other delivery channel 	
Narrative	<p>Provide the description. This field is applicable if the File Identification has value as COUR or OTHR.</p>	
Applicable Rules	<p>Select the applicable rules for the Guarantee Issuance from the available options:</p> <ul style="list-style-type: none"> • URDG - Uniform rules for demand guarantees • UCPR - Uniform Customs and Practices • ISPR - International standby Practices • NONE - Not Subject to any rules • OTHR 	URDG - Uniform rules for demand guarantees
Narrative	<p>Provide the description. This field is applicable if the Applicable Rules has value as OTHR.</p>	
Advising Bank	<p>User can input the Party ID and on tab out system will populate the details.</p> <p>Alternatively user can search for the same by using the LOV. As part of LOV criteria; user can input the Customer ID, Customer Name or Walk in. System will display all the Advising Bank combination and user can select the Advising Bank from the list.</p>	001343 - Bank Of America

Field	Description	Sample Values
Beneficiary Name	<p>This field specifies the party in whose favor the undertaking (or counter-undertaking) is issued.</p> <p>User can input the Party ID. Alternatively user can search for the same by using the LOV. As part of LOV criteria; user can input the Customer ID and Customer Name. System will display all the Beneficiary combination and user can select the Beneficiary from the list.</p>	001344 EMR & CO
Counter SBLC/Guarantee Issuing Bank	<p>Select the Counter Guarantee Issuance Bank from the LOV. Only Bank type of customer should be available for the user to select from LOV.</p> <p>This field is applicable only if the Purpose of Message field has value as ICCO.</p>	
Local SBLC/Guarantee Issuing Bank	<p>Select the Local Guarantee Issuance Bank from the LOV.</p> <p>This field is applicable only if the Purpose of Message field has value as ICCO or ISCO.</p>	

Sequence B

ORACLE Dashboard (300) Jan 1, 2016 JEEV subham@gmail

Create Guarantee Template Maintenance (SWIFT 2021)

Template Code * GUA01 Template Name * GuaranteessuissuanceTemplate01 Branch 004

SBLC/Guarantee Details

Applicant * 000887 TRADE_CIF_00

22K - Type of Undertaking * CRED - Credit Facilities Guarantee

23X - File Identification

Advising Bank

22D - Form of Undertaking * STBY - Standby LC

22K - Narrative

23X - Narrative

59 - Beneficiary Name * 001083 PALM

Product Code SB93

22A - Purpose of Message * ICCO - Issuance of counter-coun...

40E - Applicable Rules * UCPR - Uniform customs and Pr...

Counter SBLC/Guarantee Issuing Bank * 001505 WFBUIUS6S

Product Description

Stand by LC Issuance (MT 700)-Amou

23B - Expiry Type * OPEN - Open

40C - Narrative

Local SBLC/Guarantee Issuing Bank * 001510 MIZUHO

Sequence B

7U - Terms and Conditions * Test

48B - Demand Indicator Multiple demands not permitted

24G - Delivery to/ Collection by

72 - Sender to Receiver Information Sender to Receiver In

49 - Confirmation Instructions

44H - Governing Law Governing Law and/c

45L - Underlying Transaction Details

24G - Narrative

71D - Charges

58A - Requested Confirmation Party

23F - Auto Extension Period

24E - Delivery of Local Undertaking

48D - Transfer Indicator

57A - Advise Through Bank

Confirming Bank

Extension Details *

24E - Narrative

39E - Transfer Conditions

41a - Available with POPRIT31063

Sequence C

22D - Form of Undertaking STBY - Standby LC

50 - Applicant Name 000887 TRADE_CIF_000

31C - Date of Issue

23F - Auto Extension Period

48B - Demand Indicator Multiple demands not permitted

24G - Delivery to/ Collection by

77L - Req. Local Undertaking T & C

44H - Governing Law Governing Law and/c

22Y - Standard Wording Required

Extension Details

45L - Underlying Transaction Details

24G - Narrative

40C - Applicable Rules UCPR - Uniform customs and Pr...

59 - Beneficiary Name 001083 PALM

Guarantee Language

48D - Transfer Indicator

24E - Delivery of Local Undertaking

22K - Type of Undertaking CRED - Credit Facilities Guarantee

41a - Available with POPRIT31063



Documents and Presentation Instructions





39E - Transfer Conditions




24E - Narrative




Save Cancel

Field	Description	Sample Values
Terms and Conditions	Specifies the applicable terms and conditions of the undertaking that are not already mentioned in any other field in this message.	
Governing Law and Jurisdiction	Select the applicable governing law and jurisdiction that is applicable to the undertaking. User should be able to pick the FFT value from LOV. The FFT Code is 44HGOVRNLAW.	44HGOVRNLAW

Field	Description	Sample Values
Auto Extension Period	<p>Select the auto extension period for expiry date from the following options:</p> <ul style="list-style-type: none"> • Days (Number of Calendar Days after latest Expiry Date) • One year (Same Date one year later) • Others (Other Extension Clause) <p> Note If value is available here, Auto Extension toggle is set to On.</p> <p>This field should be disabled if value in field 23 B- Validity is 'Open'</p>	
Extension Details	<p>Provide the extension details for the expiry date.</p> <p> Note This field is applicable only if Auto Extension Period field value is Days Or Others.</p> <p>For value 'Days' maximum value allowed is 999.</p> <p>This field is not applicable if field 23F has value as 'ONE YEAR'.</p>	
Demand Indicator	<p>This field specifies whether partial and/or multiple demands are not permitted. Select the demand indicator from the following options:</p> <ul style="list-style-type: none"> • Multiple demands are not permitted - Partial amount can be claimed • Partial demands are not permitted - Entire amount can be claimed • Multiple and partial demands are not permitted - Entire amount can be claimed 	
Underlying Transaction Details	<p>Select the underlying business transaction details (for which the undertaking is issued) from the LOV. User should be able to select the FFT details using LOV.</p>	45LTRNDTLS

Field	Description	Sample Values
Delivery of Local Undertaking	<p>Select the method of the delivery from the following options by which the original local undertaking needs to be delivered:</p> <ul style="list-style-type: none"> • COLL - By Collection • COUR - By Courier (e.g. Fedex, DHL, UPS) • MAIL - By Mail • MESS - By Messenger - Hand Deliver • OTHR - Other Method • REGM - By Registered Mail or Airmail <p> Note This field is not applicable, if Purpose of Message field value is ICCO/ISCO.</p> <p>Additional Information may be present if Code has the value COUR or OTHR, otherwise it is not allowed.</p>	
Narrative	<p>Provide the description of method of delivery of original undertaking.</p> <p> Note This field is applicable only if the Delivery of Original Undertaking field value is COUR/OTHR.</p>	
Delivery to/Collection by	<p>Select the details of to whom the original local undertaking is to be delivered or by whom the original local undertaking is to be collected:</p> <ul style="list-style-type: none"> • BENE - Beneficiary • OTHR - Others <p> Note This field is not applicable, if Purpose of Message field value is ICCO/ISCO.</p> <p>If Code is OTHR, then Name and Address must be specified using Narrative Field.</p>	
Narrative	<p>Provide the description.</p> <p> Note This field is applicable only if the Delivery to/Collection by field value is OTHR.</p>	

Field	Description	Sample Values
Transfer Indicator	<p>Select the check box if the undertaking is transferable.</p>  <p>Note If field 22A is ISCO or ICCO, then, in sequence B, field 24G is to be disabled.</p>	
Transfer Conditions	<p>Provide the conditions to transfer the undertaking.</p>  <p>Note This field should be enabled only if Transfer Indicator is set to 'Yes'.</p>	
Sender to Receiver Information	<p>This field specifies additional information for the Receiver. User should be able to choose the FFT value SND2RECMT760.</p>	
Charges	<p>Enter the information about charges associated with the undertaking.</p>	
Advise Through Bank	<p>Select the additional bank to advise the undertaking from the LOV.</p>  <p>Note This field is applicable only if Advice Through Bank field in Main Details has value.</p>	
Available With	<p>This field identifies the bank with which the credit is available of the issued LC.</p> <p>User can enter the BIC Code or type any text or select a BIC code from LOV. Search the bank with SWIFT code (BIC) or Bank Name.</p> <p>System should validate if the text given is a BIC Code and provide a warning if the text typed is not aligned with number of BIC code characters.</p>	

Field	Description	Sample Values
Confirmation Instructions	<p>Select the instructions from the issuing bank to the advising party. The values are:</p> <ul style="list-style-type: none"> • CONFIRM (The requested confirmation party is requested to confirm the credit) • MAY ADD (The requested confirmation party may add its confirmation to the credit) • WITHOUT  <p>Note This field is enabled only if the form of undertaking is 'SBLC'.</p>	
Requested Confirmation Party	<p>Select the requested confirmation party from the available options:</p> <ul style="list-style-type: none"> • Advising Bank • Advise Through Bank • Others  <p>Note This field is applicable if the Confirmation Instructions is Confirm or May Add.</p>	
Confirming Bank	<p>Select the Bank type of customer as the confirming bank using LOV.</p>  <p>Note This field is applicable if the Requested Confirmation Party value is Others.</p>	

Sequence C

ORACLE Dashboard (300) Jan 1, 2016 JEEV subham@gmail

Create Guarantee Template Maintenance (SWIFT 2021)

Template Code * GUA01 Template Name * GuaranteeIssuanceTemplate01 Branch 004

SBLC/Guarantee Details

Applicant * 000887 TRADE_CIF_DC

22K - Type of Undertaking * CRED - Credit Facilities Guarantee

23X - File Identification

Advising Bank

22D - Form of Undertaking * STBY - Standby LC

22K-Narrative

23X - Narrative

59 - Beneficiary Name * 001083 PALM

Product Code 5893

22A - Purpose of Message * ICCO - Issuance of counter-coun...

40E - Applicable Rules * UCPR - Uniform customs and Pr...

Counter SBLC/Guarantee Issuing Bank * 001505 WFBUIUS6S

Product Description **Stand by LC Issuance (MT 700)-Amour**

23B - Expiry Type * OPEN - Open

40C - Narrative

Local SBLC/Guarantee Issuing Bank * 001510 MIZUHO

Sequence B

77U - Terms and Conditions * Test

48B - Demand Indicator Multiple demands not permitted

24G - Delivery to/ Collection by

72-Sender to Receiver Information Sender to Receiver In

49-Confirmation Instructions

44H - Governing Law Governing Law and/c

23F - Auto Extension Period

24E - Delivery of Local Undertaking

48D - Transfer Indicator

57A-Advise Through Bank

41a-Available with POPRIT31063

45L - Underlying Transaction Details

24G - Narrative

71D - Charges

58A - Requested Confirmation Party

40C - Applicable Rules UCPR - Uniform customs and Pr...

59 - Beneficiary Name 001083 PALM

Guarantee Language

48D - Transfer Indicator

24E - Delivery of Local Undertaking

22K - Type of Undertaking CRED - Credit Facilities Guarantee

41a-Available with POPRIT31063

Documents and Presentation Instructions

39E- Transfer Conditions

24E - Narrative

Sequence C

22D - Form of Undertaking STBY - Standby LC

50 - Applicant Name 000887 TRADE_CIF_000

31C - Date of Issue

23F - Auto Extension Period

48B - Demand Indicator Multiple demands not permitted

24G - Delivery to/ Collection by

77L - Req. Local Undertaking T & C *

44H - Governing Law Governing Law and/c

22V - Standard Wording Required

Extension Details



45L - Underlying Transaction Details





24G - Narrative

Save Cancel

Field	Description	Sample Values
Form of Undertaking	Read Only field. The value is defaulted from previously entered value.	
Req. Local Undertaking T&C	Specifies the requested terms and conditions of the local undertaking.	GUIS

Field	Description	Sample Values
Applicable Rules	<p>The value is defaulted from previously entered value. User can change.</p> <p>Values are:</p> <ul style="list-style-type: none"> ● URDG - Uniform Rules for Demand Guarantees ● UCPR - Uniform Customs and Practices ● ISPR - International Standby Practices ● NONE - Not Subject to any rules ● OTHR 	
Type of Undertaking	<p>Read only field.</p> <p>The value is defaulted from previously entered value.</p>	
Applicant Name	<p>Read only field.</p> <p>The value is defaulted from previously entered value.</p>	
Governing Law	<p>User can enter the details by selecting FFT from LOV.</p> <p>Details captured against FFT Code 44HGOVRNLAW.</p>	44HGOVRNLAW
Beneficiary Name	<p>Read only field.</p> <p>The value is defaulted from previously entered value.</p>	
Available With	<p>Read only field.</p> <p>The value is defaulted from previously entered value.</p>	
Date of Issue	<p>User can enter the specified date on or by which the requested local undertaking is to be issued.</p>	
Standard Wording Required	<p>Specifies that the wording of the terms and conditions must be the standard wording of the local undertaking issuer.</p> <p>Valid Code - STND Standard wording of issuer required.</p>	
Guarantee Language	<p>Select the specified language from LOV.</p> <p>Specifies the requested ISO 639 language code for the wording of the local undertaking.</p>	
Documents and Presentation Instructions	<p>Specifies the presentation instructions (for example, form and/or place of presentation) including documents required to make a complying demand.</p>	

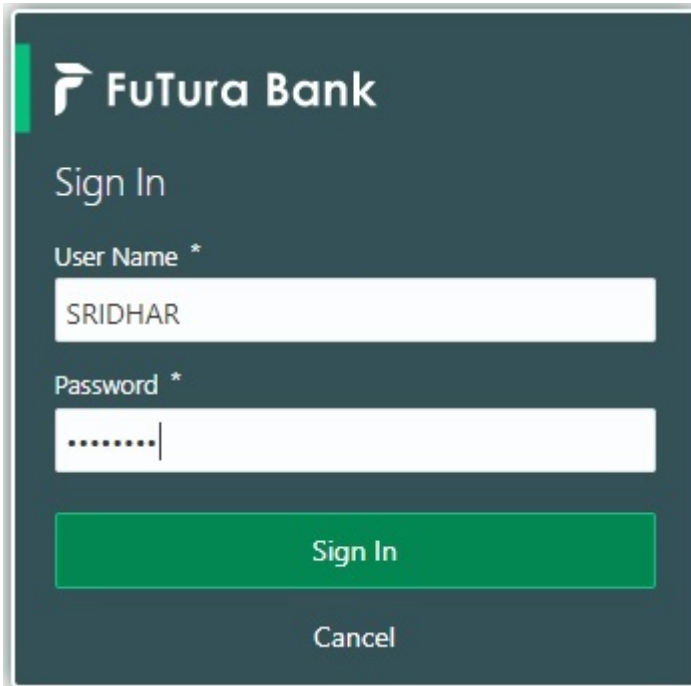
Field	Description	Sample Values
Auto Extension Period	<p>The value is defaulted from previously entered value. User can change the value.</p> <p>Indicates details about the automatic extension of the expiry date. User should be able to pick the appropriate value from drop down. Valid codes:</p> <ul style="list-style-type: none"> • DAYS (Number of Calendar Days after latest Expiry Date) • ONE YEAR (Same Date one year later) • OTHERS (Other Extension Clause) <p> Note</p> <p>This field should be disabled if value in field 23 B- Validity is 'Open'.</p>	
Extension Details	<p>The value is defaulted from previously entered value. User can change the value.</p> <p>Provide the extension details for the expiry date.</p> <p> Note</p> <p>This field is applicable only if Auto Extension Period field value is Days/ Others.</p>	
Transfer Indicator	The value is defaulted from Sequence B. User cannot change the value.	
Transfer Conditions	The value is defaulted from Sequence B. User cannot change the value.	
Demand Indicator	The value is defaulted from Sequence B. User cannot change the value.	
Underlying Transaction Details	Select the underlying business transaction details (for which the undertaking is issued) from the LOV.	

Field	Description	Sample Values
Delivery of Local Undertaking	<p>Select the method of the delivery from the following options by which the original local undertaking needs to be delivered:</p> <ul style="list-style-type: none"> • COLL - By Collection • COUR - By Courier (e.g. Fedex, DHL, UPS) • MAIL - By Mail • MESS - By Messenger - Hand Deliver • OTHR - Other Method • REGM - By Registered Mail or Airmail <p> Note If Purpose of Message field value is ICCO/ISCO, then in sequence B, field 24E is disabled.</p> <p> Note Additional Information may be present if Code has the value COUR or OTHR, otherwise it is not allowed.</p>	
Narrative	Provide the details of any other type of local undertaking. This field is applicable if the Delivery of Local Undertaking has value as COUR OR OTHR .	
Delivery to/Collection by	<p>Select the details of to whom the original local undertaking is to be delivered or by whom the original local undertaking is to be collected:</p> <p>The valid Codes are:</p> <ul style="list-style-type: none"> • BENE - Beneficiary • OTHR - Others <p> Note If Purpose of Message field value is ICCO/ISCO, then in sequence B, field 24E is disabled.</p>	
Narrative	<p>Provide the description/narrative.</p> <p> Note This field is applicable only if the Delivery to/Collection by field value is OTHR.</p>	

Guarantee Issuance Template Summary

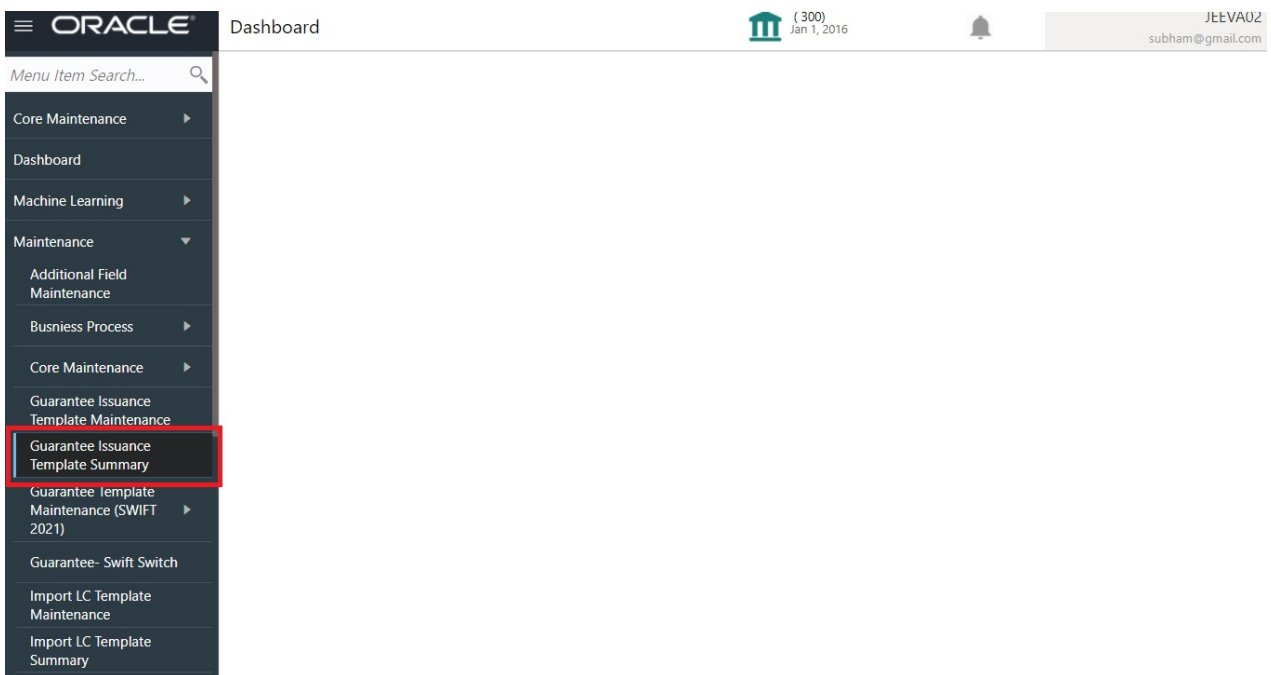
This screen allows the user to view the list of Guarantee Issuance Template/s saved.

1. Using the entitled login credentials, login to the OBTfPM application.



The image shows a login screen for FuTura Bank. At the top left is the FuTura Bank logo. Below it is the text "Sign In". There are two input fields: "User Name *" with the text "SRIDHAR" and "Password *" with masked characters ".....". Below the input fields is a green "Sign In" button and a "Cancel" link.

2. Click **Maintenance > Guarantee Issuance Template Summary**



Guarantee Issuance Template Summary

The Guarantee Issuance template/s Summary screen fetches and displays all the available templates. User can also filter the template using filter based on following parameters:

- Template Code
- Template Name
- Applicant Name

- Beneficiary Name

Dashboard (300) Jan 1, 2016 JEEVA02 subham@gmail.com

Guarantee Issuance Template Summary

Search

Template Code: TEST1

Template Name: TEST1

Applicant Name: 001507

S.No	Template Code	Applicant Name
1	TEST1	001506
2	GUAT0021	000_TRADECUS01

Clear Close

Search the template based on the description in the following table:

Field	Description	Sample Values
Template Code	Search the template by selecting the Template Code from LOV.	
Template Name	Template Name of the template maintained is displayed based on the Template Code selected.	
Applicant Name	Applicant Name in the template maintained is displayed based on the Template Code selected.	
Beneficiary Name	Beneficiary Name in the template maintained is displayed based on the Template Code selected.	

3. Select the Template Code from LOV, Template Name, Applicant Name, Beneficiary Name of the template maintained is displayed based on the selected Template Code is displayed.
4. Click "Search" button. The Guarantee Issuance Template maintained is displayed.
5. Click the specific template to modify, Copy and Delete the template.

Guarantee Issuance Template - Modify

The OBTFPM user can update the Guarantee Issuance data in the template by clicking the 'Modify' button and can save the updated details. System does not allow the user to edit the Template Code and Template Name.

All the validation applicable for creating new template are applicable here also.

6. Modify the template details and click **Save** to save the modified details.

Guarantee Issuance Template - Copy

The OBTFPM user can copy the details of existing Guarantee Issuance Template by clicking the 'Copy' button and a new screen should get open with the copied details. System allows the user to name the Template Code and Template Name.

All the validation applicable for creating new template are applicable here also.

7. Click **Copy** to copy the exiting details, a new screen is displayed with the copied details.

Guarantee Issuance Template - Delete

The OBTFPM user can delete the details of existing Guarantee Issuance Template by clicking the 'Delete' button.

8. Click **Delete** to delete the template details, a pop-up screen with warning message "Are you sure you want to delete the Template?" Yes/No is displayed.

9. Click **Yes** button, the Template gets deleted from the system.

10. Click '**No**' to go back on the Template details.

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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